

### Is a signature/medallion guarantee required?

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- Does the transfer amount exceed \$100,000?
- Are there any new owners/trustees being added to the registration?
- Are there any living owners/trustees being removed from the registration?
- Will the assets be transferred to a registration with a different or additional owner/trustee?
- Is the transfer a re-registration of an UGMA/UTMA?
- Is the request signed by anyone other than the registered account owner(s)? If so, the request must be **signed in capacity and accompanied by the appropriate certified legal document(s)**.

**Important!** If you answered yes to any of the questions above, a signature guarantee/medallion guarantee is required.

### Are additional documents required?

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- Is the transfer due to the death or incapacitation of an account owner, custodian, executor, administrator, or personal representative?
  - If you answered yes, **additional documentation will be required.**
- Is the transfer due to the death, resignation or incapacitation of a trustee?
  - If you answered yes, **additional documentation will be required.**
- Is the transfer due to the divorce of an account owner?
  - If you answered yes, **additional documentation will be required** unless each account owner's signature is separately signature guarantee/medallion guaranteed on the Transfer request form for nonretirement accounts.
- Will the assets be transferred to a new trust account?
  - If you answered yes, a certified copy of the trust must be provided; documents must include the first page, signature page, and the page naming the trustees and successor trustees.
- Is the transfer a re-registration of an UGMA/UTMA to an individual account for the former minor?
  - If you answered yes and the request is signed by the former minor, his/her birth certificate is required to show that they have reached the age of distribution in the donor state.
  - If you answered yes and the request is signed by the custodian, the account can only be transferred to an individual account for the former minor. Any other transfer requires authorization directly from the former minor.

### Additional notes

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- Outstanding certificates must be replaced or returned before any outstanding shares can be transferred.
- If any name in the registration has changed, you must include a completed Name change authorization form.
- If acting as an attorney-in-fact, a power of attorney document is required (**original certification within 90 days**). You must sign the request in capacity, i.e. Mary Smith POA for John Smith. Additional restrictions may apply.
- If acting as a conservator or guardian, a court order is required (**original certification within 120 days**). You must sign the request in capacity, i.e. Mary Smith Guardian for John Smith.
- For trust accounts, when the registered trustee is incapacitated or when the current authorized trustee is not named in the account registration, a certified copy of the trust must be provided (**original certification within 120 days**); documents must include the first page, signature page, and the page naming the trustees and successor trustees.  
**Note:** A power of attorney may not act on a trust account.
- For organization transfers (including foundations and charities), **additional documentation will be required.**

**Please contact Putnam Investments regarding additional restrictions, certification guidelines, documentation requirements, and outstanding certificate information.**

# Transfer request form for nonretirement accounts



## Return by mail:

Putnam Investments  
PO Box 219697  
Kansas City, MO 64121-9697

## Return by express delivery:

Putnam Investments  
430 W 7th Street Suite 219697  
Kansas City, MO 64105-1407

## For more information:

Putnam Investments  
1-800-225-1581  
www.putnam.com



Complete this form to authorize a transfer of shares from an existing Putnam account(s) to a new or existing Putnam account(s) with a different registration. Any tax questions related to this transfer should be directed to your tax advisor. If you are using this form to authorize a transfer to an account with a new registration, please attach a completed Mutual fund account application signed by all registered owners of the new account. If you wish to redeem shares at the time of the transfer you must also complete Putnam's Request for redemption from a nonretirement account. To help ensure the request is in good order, please refer to the **Transfer for nonretirement accounts checklist**.

## Section 1 Existing account owner information

Name of owner/custodian/trustee/entity

Social Security/Tax ID number (required)

Date of birth (mm/dd/yyyy)

Name of joint owner/minor/co-trustee/authorized party

Social Security number (required)

Date of birth (mm/dd/yyyy)

Contact phone number

**Note:** Providing a phone number above will replace the current contact information on file with Putnam (if applicable). If this field is left blank, no changes will be made.

## Section 2 Reason for transfer

To ensure accurate cost basis reporting, select the type of transfer you are requesting and provide transfer details below. For inheritance and gift transfers, cost basis can only be adjusted when assets are transferred into accounts which utilize the average cost calculation method. If no option is selected and the transfer reason cannot be determined, Putnam will default to a gift transfer coding.

- Re-registration:** Cost basis will not be adjusted. Re-registration transfers include transactions such as the addition of a joint tenant, removal of a custodian from an UTMA/UGMA registration once the minor has reached the age of distribution, divorce, and movement of assets to a trust account.
- Inheritance:** Select this option if you have inherited shares from a nonretirement account. You must provide the date of death below. If no date of death is provided, your cost basis may not be accurately tracked.

Date of death (mm/dd/yyyy; required)

For trusts and joint accounts, Putnam will default to coding transfers due to death as a re-registration unless directed to adjust the cost basis using an inheritance coding for a specific percentage of the transferred shares below (1%-100%). Please consult your tax advisor to determine if you qualify for this option.

- Adjust my cost basis using an inheritance coding for % of the assets transferred from the joint or trust account(s) indicated in Section 3. I have verified with a tax advisor that I am eligible to adjust my cost basis.

For all other non-retirement registrations, Putnam will use a transfer coding of inheritance and adjust your cost basis to the fair market value on the date of death unless you provide an alternate valuation date below.

- **Alternate valuation date:** This option is only available for estate assets. Federal estate tax law allows estate assets to be valued on an alternate date rather than the date of death. Please consult your tax advisor to determine the appropriate date to provide if you qualify for this option.

Alternate date (mm/dd/yyyy; optional)

- Gift:** Please complete the information below for shares transferred as a gift. The date of gift will determine the fair market value of the gift. If the date of gift is not provided, the trade date of the transfer will be used as the date of gift. If fair market value acceptance is not provided, cost basis may not be accurately tracked for the gift recipient.

Gift recipient signature for Fair Market Value Acceptance

Date of gift (mm/dd/yyyy)

Print name of signature above

### Section 3 Transfer from existing account registration instructions

Please list all accounts that you wish to transfer below along with the applicable dollar amount, share amount, or percentage allocation that should be transferred. If utilizing the Specific Lot Identification accounting method, please attach a separate sheet of paper and include each acquisition date and corresponding share or dollar amount to satisfy the requested transaction. Please consult your tax advisor with any questions as these transactions may have tax implications.

<i>Fund number</i>	<i>Account number</i>	<i>Dollar amount</i>		<i>Share amount</i>		<i>Percentage</i>
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or	<input type="text"/>	or	<input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or	<input type="text"/>	or	<input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or	<input type="text"/>	or	<input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or	<input type="text"/>	or	<input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or	<input type="text"/>	or	<input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or	<input type="text"/>	or	<input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or	<input type="text"/>	or	<input type="text"/> %

### Section 4 Transfer to account registration instructions

Funds will be transferred in kind based on the allocations in Section 3 unless otherwise indicated on this form, a separate letter of instruction, or a new account application. If different funds or allocations are indicated, an exchange will take place following the transfer. The exchange may be a taxable event. When transferring to multiple recipients, **the total allocations in this section must equal 100%**.

**Transfer to a new Putnam registration.** Please fill in the information below. A Mutual fund account application **must** be completed for each new registration.

<i>Name(s) of the new owner/custodian/trustee/entity</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/> %
<i>Name(s) of the new owner/custodian/trustee/entity</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/> %
<i>Name(s) of the new owner/custodian/trustee/entity</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/> %
<i>Name(s) of the new owner/custodian/trustee/entity</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/> %

**Transfer to an existing Putnam registration.** Please fill in the information below for each recipient.

<i>Account number</i>	<i>Name(s) of registered owner(s)</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<i>Account number</i>	<i>Name(s) of registered owner(s)</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<i>Account number</i>	<i>Name(s) of registered owner(s)</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<i>Account number</i>	<i>Name(s) of registered owner(s)</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/>	<input type="text"/> %

100%

## Section 5 Account features

When transferring from an existing account some account features (**checkwriting**, dividends, capital gains, systematic options, etc.) may not be eligible to automatically carry over to the new registration. Please contact Putnam to discuss your current account options and, if necessary, to obtain the appropriate paperwork to carry them over to the new registration.

## Section 6 Authorization

I/We authorize the transfers indicated on this form. My/Our signature(s) below indicate(s) that if I/we am/are designating an investment in a fund that I/we do not already own I/we have read the fund prospectus(es) and agree to the terms therein.

For transfers resulting from a divorce or legal separation: The releasing/receiving account owner agrees to indemnify Putnam from all liabilities, losses, claims, demands, damages, costs, and expenses, including reasonable attorneys' fees and expenses, arising from any authorized transfer allocations. Putnam shall be fully protected in relying on and proceeding in accordance with any direction or notice provided directly or indirectly by the releasing/receiving account owner, including but not limited to the dollar amount, share amount, and/or percentage of account assets to be transferred as provided in Section 3. Putnam has no duty or responsibility to determine the correctness of the transfer, any discrepancies between the DRO/divorce decree and this form, or if the DRO/divorce decree is qualified under Internal Revenue Code section 414(p), if applicable.

**Putnam requires the signature guarantee/medallion guarantee** if any of the scenarios below apply. A signature guarantee/medallion guarantee is a stamped assurance by a financial institution that indicates a signature is valid and has the financial backing of the institution.

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| 1) the transfer amount exceeds \$100,000   | 5) the transfer is a re-registration of an UGMA/UTMA account   |
| 2) any new owner(s)/trustee(s) are added to the registration                                     | 6) the request is signed by anyone other than the registered account owner(s)  |
| 3) any living owner(s)/trustee(s) are removed from the registration                              | <b>(must be signed in capacity and accompanied by the appropriate certified legal document(s) with original certification)</b> |
| 4) the assets will be transferred to a registration with a different or additional owner/trustee |  |

Signature of Owner/Custodian/Trustee/Authorized Signer

Print name of signature above

Date (mm/dd/yyyy)

Signature of Joint Owner/Co-Trustee/Co-Authorized Signer

Print name of signature above

Date (mm/dd/yyyy)

PLACE SIGNATURE/MEDALLION GUARANTEE STAMP BELOW

THE GUARANTEE SHOULD  
NOT BE DATED

PLACE SIGNATURE/MEDALLION GUARANTEE STAMP BELOW

THE GUARANTEE SHOULD  
NOT BE DATED