

### Is a signature/medallion guarantee required?

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- Does the transfer amount exceed \$100,000?
- Are there any new owners/trustees being added to the registration?
- Are there any living owners/trustees being removed from the registration?
- Is the transfer a re-registration of an UGMA/UTMA?
- Is the request signed by anyone other than the registered account owner(s)? If so, the request must be accompanied by the appropriate certified legal document(s).

**Important!** If you answered yes to any of the questions above, a signature/medallion guarantee is required.

### Are additional documents required?

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- Is the transfer due to the death or incapacitation of an account owner, custodian, executor, administrator, or personal representative?
  - If you answered yes, **additional documentation will be required.**
- Is the transfer due to the death, resignation or incapacitation of a trustee?
  - If you answered yes, **additional documentation will be required.**
- Is the transfer due to the divorce of an account owner?
  - If you answered yes, **additional documentation will be required** unless each account owner's signature is separately signature/medallion guaranteed on the Transfer request form for nonretirement accounts.
- Will the assets be transferred to a new trust account?
  - If you answered yes, a certified copy of the trust must be provided; documents must include the first page, signature page, and the page naming the trustees and successor trustees.
- Is the transfer a re-registration of an UGMA/UTMA to an individual account for the former minor?
  - If you answered yes and the request is signed by the former minor, his/her birth certificate is required to show that they have reached the age of distribution in the donor state.
  - If you answered yes and the request is signed by the custodian, the account can only be transferred to an individual account for the former minor. Any other transfer requires authorization directly from the former minor.

### Additional notes

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- Outstanding certificates must be replaced or returned before any outstanding shares can be transferred.
- If any name in the registration has changed, you must include a completed Name change authorization form.
- If acting as an attorney-in-fact, a power of attorney document is required (**original certification within 90 days**). You must sign the request in capacity, i.e. Mary Smith POA for John Smith. Additional restrictions may apply.
- If acting as a conservator or guardian, a court order is required (**original certification within 120 days**). You must sign the request in capacity, i.e. Mary Smith Guardian for John Smith.
- For trust accounts, when the registered trustee is incapacitated or when the current authorized trustee is not named in the account registration, a certified copy of the trust must be provided (**original certification within 120 days**); documents must include the first page, signature page, and the page naming the trustees and successor trustees. **Note:** A power of attorney may not act on a trust account.
- For organization transfers (including foundations and charities), **additional documentation will be required.**

**Please contact Putnam Investments regarding additional restrictions, certification guidelines, documentation requirements, and outstanding certificate information.**

# Transfer request form for nonretirement accounts



**Return by mail:**  
Putnam Investor Services, Inc.  
P. O. Box 8383  
Boston, MA 02266-8383

**Return by express delivery:**  
Putnam Investor Services, Inc.  
30 Dan Road  
Canton, MA 02021-2809

**For more information:**  
Putnam Investments  
1-800-225-1581  
www.putnam.com



Complete this form to authorize a transfer of shares from an existing Putnam account(s) to a new or existing Putnam account(s) with a different registration. If you are using this form to authorize a transfer to an account with a new registration, please attach a completed Mutual fund account application signed by all registered owners of the new account. To help ensure the request is in good order, please refer to the **Transfer for nonretirement accounts checklist**.

## Section 1 Existing account owner information

<i>Name of owner/custodian/trustee/entity</i>	<i>Social Security/Tax ID number (required)</i>	<i>Date of birth (mm/dd/yyyy)</i>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<i>Name of joint owner/minor/co-trustee/authorized party</i>	<i>Social Security number (required)</i>	<i>Date of birth (mm/dd/yyyy)</i>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<i>Contact phone number</i>		
<input type="text"/>		

**Note:** Providing a phone number above will replace the current contact information on file with Putnam (if applicable). If this field is left blank, no changes will be made.

## Section 2 Reason for transfer

To ensure accurate cost basis reporting, select the type of transfer you are requesting and provide transfer details below.

**Re-registration** (divorce, UTMA/UGMA, etc.)

**Death**

*Date of death (mm/dd/yyyy; required)*      *Alternate date (mm/dd/yyyy; optional)*

<input type="text"/>	<input type="text"/>
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**Gift**

*Gift recipient signature for Fair Market Value Acceptance*

*Date of gift (mm/dd/yyyy)\**

<input type="text"/>	<input type="text"/>
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*Print name of signature above*

\*If no gift date is provided, Putnam will default to the date the transaction is processed. If receiving funds as a gift, the gift recipient must sign above indicating acceptance of the shares at fair market value on the date of the gift. If acceptance is not provided, cost basis may not be calculated for the gift recipient.

## Section 3 Account features

When transferring from an existing account some account features (**checkwriting**, dividends, capital gains, systematic options, etc.) may not be eligible to automatically carry over to the new registration. Please contact Putnam to discuss your current account options and, if necessary, to obtain the appropriate paperwork to carry them over to the new registration.

## Section 4 Transfer from existing account registration instructions

Please list all accounts that you wish to transfer below along with the applicable dollar amount, share amount, or percentage allocation that should be transferred. If utilizing the Specific Lot Identification accounting method, please attach a separate sheet of paper and include the acquisition date and share or dollar amount to satisfy the requested transaction. Please consult your tax advisor with any questions as these transactions may have tax implications.

<i>Fund number</i>	<i>Account number</i>	<i>Dollar amount</i>	<i>Share amount</i>	<i>Percentage</i>
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or <input type="text"/>	or <input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or <input type="text"/>	or <input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or <input type="text"/>	or <input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or <input type="text"/>	or <input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or <input type="text"/>	or <input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or <input type="text"/>	or <input type="text"/> %
<input type="text"/>	— <input type="text"/>	\$ <input type="text"/>	or <input type="text"/>	or <input type="text"/> %

## Section 5 Transfer to account registration instructions

Funds will be transferred in kind based on the allocations in Section 4 unless otherwise indicated on this form, a separate letter of instruction, or a new account application. If different funds or allocations are indicated, an exchange will take place following the transfer. The exchange may be a taxable event. When transferring to multiple recipients, **the total allocations in Section 5 must equal 100%**.

**Transfer to a new Putnam registration.** Please fill in the information below. A Mutual fund account application **must** be completed for each new registration.

<i>Name(s) of the new owner/custodian/trustee/entity</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/> %
<i>Name(s) of the new owner/custodian/trustee/entity</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/> %
<i>Name(s) of the new owner/custodian/trustee/entity</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/> %
<i>Name(s) of the new owner/custodian/trustee/entity</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/> %

**Transfer to an existing Putnam registration.** Please fill in the information below for each recipient.

<i>Account number</i>	<i>Name(s) of registered owner(s)</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<i>Account number</i>	<i>Name(s) of registered owner(s)</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<i>Account number</i>	<i>Name(s) of registered owner(s)</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<i>Account number</i>	<i>Name(s) of registered owner(s)</i>	<i>Percentage</i>
<input type="text"/>	<input type="text"/>	<input type="text"/> %

100%

## Section 6 Authorization

I/We authorize the transfers indicated on this form.

**Putnam requires the signature/medallion guarantee** if any of the scenarios below apply. A signature/medallion guarantee is a stamped assurance by a financial institution that indicates a signature is valid and has the financial backing of the institution.

- 1) any new owner(s)/trustee(s) are added to the registration
- 2) any living owner(s)/trustee(s) are removed from the registration
- 3) the transfer is a re-registration of an UGMA/UTMA account
- 4) the transfer amount exceeds \$100,000
- 5) the request is signed by anyone other than the registered account owner(s)  
**(must be accompanied by the appropriate certified legal document(s) with original certification)**

Signature of Owner/Custodian/Trustee/Authorized Signer

Print name of signature above

Date (mm/dd/yyyy)

Signature of Joint Owner/Co-Trustee/Co-Authorized Signer

Print name of signature above

Date (mm/dd/yyyy)

PLACE SIGNATURE/MEDALLION GUARANTEE STAMP



PLACE SIGNATURE/MEDALLION GUARANTEE STAMP

