

# Self-certification for late rollover contribution



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Please make checks payable to Putnam Fiduciary Trust Company



This Self-Certification of Late Rollover form is used when accepting a rollover contribution more than 60 days after the IRA owner received the initial distribution. The form allows the IRA owner to self-certify the reason(s) he/she was unable to complete the rollover within 60 days of receipt of the distribution.

## Section 1 Complete your account owner information

Name of account owner

First	MI	Last	Suffix	Social Security/Tax ID number (required)	Date of birth (mm/dd/yyyy; required)

Contact phone

E-mail address

**Note:** Providing an e-mail address and/or phone number above will replace the current contact information on file with Putnam (if applicable). No changes will be made for fields that are left blank. If you are enrolled in electronic delivery, all notifications will be sent to the e-mail address listed above.

## Section 2 60-day exception reasons

I intended to make the rollover within 60 days after receiving the distribution but was unable to do so for the following reason(s) (check all that apply):

- An error was committed by the financial institution making the distribution or receiving the contribution.
- The distribution was in the form of a check and the check was misplaced and never cashed.
- The distribution was deposited into and remained in an account that I mistakenly thought was a retirement plan or IRA.
- My principal residence was severely damaged.
- One of my family members died.
- I (or one of my family members) was seriously ill.
- I was incarcerated.
- Restrictions were imposed by a foreign country.
- A postal error occurred.
- The distribution was made on account of an IRS levy and the proceeds of the levy have been returned to me.
- The party making the distribution delayed providing information that the receiving plan or IRA required to complete the rollover despite my reasonable efforts to obtain the information.

## Section 3 Select your funds

Please use the Putnam Fund Guide (<https://www.putnam.com/literature/pdf/FM103.pdf>) to select your rollover contribution. You must enter the fund name and number for the corresponding share class you wish to establish. For additional fund elections, please attach a separate sheet of paper with fund number, fund name, dollar amount or percentage.

- For assets moved from an existing Putnam registration: Fund allocations will remain consistent with the originating Putnam account(s) unless otherwise indicated. In addition, the share class must remain consistent with the originating Putnam account(s).

Fund number	Fund name	Dollar amount	or	Percentage
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or	<input type="text"/> %
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or	<input type="text"/> %
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or	<input type="text"/> %
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or	<input type="text"/> %
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or	<input type="text"/> %
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or	<input type="text"/> %
				100%

## Section 4 Authorization

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Pursuant to Internal Revenue Service Revenue Procedure 2016-47, I certify that my contribution above missed the 60-day rollover deadline for the reason(s) listed in Section 2. I am making this contribution as soon as practicable after the reason or reasons listed in Section 2 no longer prevent me from making the contribution. I understand that this certification concerns only the 60-day requirement for a rollover and that, to complete the rollover, I must comply with all other tax law requirements for a valid rollover and with your rollover procedures.

Pursuant to Revenue Procedure 2016-47, unless you have actual knowledge to the contrary, you may rely on this certification to show that I have satisfied the conditions for a waiver of the 60-day rollover requirement for the amount identified above. You may not rely on this certification in determining whether the contribution satisfies other requirements for a valid rollover.

I declare that the representations made in this document are true and that the IRS has not previously denied a request for a waiver of the 60-day rollover requirement with respect to a rollover of all or part of the distribution to which this contribution relates. I understand that in the event I am audited and the IRS does not grant a waiver for this contribution, I may be subject to income and excise taxes, interest, and penalties. If the contribution is made to an IRA, I understand you will be required to report the contribution to the IRS.

**Note:** I also understand that I should retain a copy of this signed certification with my tax records.

My signature below also indicates that if I am designating an investment in a fund that I do not already own I have read the fund prospectus(es) and agree to the terms therein.

*Signature of account owner (required)*

*Print name of signature above*

*Date (mm/dd/yyyy)*