## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549-

## FORM N-PX

#### ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act File Number : 811-06128

Name of Registrant:

## **Putnam Sustainable Leaders Fund**

Address of Principal Executive Offices: 100 Federal Street

Boston, Massachusetts 02110

Name and address of agent of service: Stephen J. Tate, Vice President and Chief Legal Officer **Putnam Sustainable Leaders Fund 100 Federal Street** Boston, Massachusetts 02110 CC: Bryan Chegwidden, Esq. **Ropes & Gray LLP 1211 Avenue of the Americas** New York, New York 10036 Registrant's telephone number including 617-292-1000 area code: 6/30 Date of fiscal year end: Date of reporting period: 07/01/2022 - 06/30/2023

| Registrant : | Putnam Sustainable Leaders Fund |
|--------------|---------------------------------|
| Fund Name :  | Putnam Sustainable Leaders Fund |

#### Adobe Inc.

| Ticker          | Security ID:             | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--------------------------|-----------------|----------|-------------------|-------------------|
| ADBE            | ISIN US00724PAD15        | 04/20/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade         |                 |          |                   |                   |
| Annual          | United States            |                 |          |                   |                   |
| Issue No.       | Description              | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Amy L. Banse       | Mgmt            | For      | For               | For               |
| 2               | Elect Brett Biggs        | Mgmt            | For      | For               | For               |
| 3               | Elect Melanie Boulden    | Mgmt            | For      | For               | For               |
| 4               | Elect Frank A. Calderoni | Mgmt            | For      | For               | For               |
| 5               | Elect Laura B. Desmond   | Mgmt            | For      | For               | For               |
| 6               | Elect Shantanu Narayen   | Mgmt            | For      | For               | For               |
| 7               | Elect Spencer Neumann    | Mgmt            | For      | For               | For               |
| 8               | Elect Kathleen Oberg     | Mgmt            | For      | For               | For               |
| 9               | Elect Dheeraj Pandey     | Mgmt            | For      | For               | For               |

| 10 | Elect David A. Ricks  | Mgmt     | For     | For     | For |
|----|---|----------|---------|---------|-----|
| 11 | Elect Daniel Rosensweig   | Mgmt     | For     | For     | For |
| 12 | Elect John E. Warnock   | Mgmt     | For     | For     | For |
| 13 | Amendment to the 2019<br>Equity Incentive Plan  | Mgmt     | For     | For     | For |
| 14 | Ratification of Auditor   | Mgmt     | For     | For     | For |
| 15 | Advisory Vote on Executive<br>Compensation  | Mgmt     | For     | For     | For |
| 16 | Frequency of Advisory Vote<br>on Executive Compensation   | Mgmt     | 1 Year  | 1 Year  | For |
| 17 | Shareholder Proposal<br>Regarding Report on Hiring<br>Practices for People With<br>Arrest Records | ShrHoldr | Against | Against | For |

#### Advanced Micro Devices, Inc.

| Ticker          | Security ID:                | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|-----------------------------|-----------------|----------|-------------------|-------------------|
| AMD             | CUSIP 007903107             | 05/18/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade            |                 |          |                   |                   |
| Annual          | United States               |                 |          |                   |                   |
| Issue No.       | Description                 | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Nora M. Denzel        | Mgmt            | For      | For               | For               |
| 2               | Elect D. Mark Durcan        | Mgmt            | For      | For               | For               |
| 3               | Elect Michael P. Gregoire   | Mgmt            | For      | For               | For               |
| 4               | Elect Joseph A. Householder | Mgmt            | For      | For               | For               |
| 5               | Elect John W. Marren        | Mgmt            | For      | For               | For               |

| 6  | Elect Jon A. Olson                                      | Mgmt | For    | For     | For     |
|----|---|------|--------|---------|---------|
| 7  | Elect Lisa T. Su  | Mgmt | For    | For     | For     |
| 8  | Elect Abhijit Y. Talwalkar                              | Mgmt | For    | For     | For     |
| 9  | Elect Elizabeth W.<br>Vanderslice                       | Mgmt | For    | For     | For     |
| 10 | Approval of the 2023 Equity<br>Incentive Plan           | Mgmt | For    | For     | For     |
| 11 | Ratification of Auditor                                 | Mgmt | For    | For     | For     |
| 12 | Advisory Vote on Executive<br>Compensation              | Mgmt | For    | Against | Against |
| 13 | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt | 1 Year | 1 Year  | For     |

## Amazon.com, Inc.

| Ticker          | Security ID:                 | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|------------------------------|-----------------|----------|-------------------|-------------------|
| AMZN            | CUSIP 023135106              | 05/24/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade             |                 |          |                   |                   |
| Annual          | United States                |                 |          |                   |                   |
| Issue No.       | Description                  | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Jeffrey P. Bezos       | Mgmt            | For      | For               | For               |
| 2               | Elect Andrew R. Jassy        | Mgmt            | For      | For               | For               |
| 3               | Elect Keith B. Alexander     | Mgmt            | For      | For               | For               |
| 4               | Elect Edith W. Cooper        | Mgmt            | For      | For               | For               |
| 5               | Elect Jamie S. Gorelick      | Mgmt            | For      | For               | For               |
| 6               | Elect Daniel P. Huttenlocher | Mgmt            | For      | For               | For               |
| 7               | Elect Judith A. McGrath      | Mgmt            | For      | For               | For               |

| 8  | Elect Indra K. Nooyi   | Mgmt     | For     | For     | For     |
|----|--|----------|---------|---------|---------|
| 9  | Elect Jonathan J. Rubinstein   | Mgmt     | For     | For     | For     |
| 10 | Elect Patricia Q. Stonesifer   | Mgmt     | For     | For     | For     |
| 11 | Elect Wendell P. Weeks   | Mgmt     | For     | For     | For     |
| 12 | Ratification of Auditor  | Mgmt     | For     | For     | For     |
| 13 | Advisory Vote on Executive<br>Compensation   | Mgmt     | For     | Against | Against |
| 14 | Frequency of Advisory Vote<br>on Executive Compensation  | Mgmt     | 1 Year  | 1 Year  | For     |
| 15 | Amendment to the 1997<br>Stock Incentive Plan  | Mgmt     | For     | For     | For     |
| 16 | Shareholder Proposal<br>Regarding Report on Climate<br>Risk In Employee Retirement<br>Default Options    | ShrHoldr | Against | Against | For     |
| 17 | Shareholder Proposal<br>Regarding Report on<br>Customer Due Diligence                                    | ShrHoldr | Against | Against | For     |
| 18 | Shareholder Proposal<br>Regarding Report on<br>Government Requests for<br>Content and Product<br>Removal | ShrHoldr | Against | Against | For     |
| 19 | Shareholder Proposal<br>Regarding Report on<br>Government Takedown<br>Requests                           | ShrHoldr | Against | Against | For     |
| 20 | Shareholder Proposal<br>Regarding Just Transition<br>Reporting   | ShrHoldr | Against | Against | For     |
| 21 | Shareholder Proposal<br>Regarding Report on Tax<br>Transparency  | ShrHoldr | Against | Against | For     |

| 22 | Shareholder Proposal<br>Regarding Lobbying<br>Alignment with Climate<br>Commitments                        | ShrHoldr | Against | Against | For |
|----|--|----------|---------|---------|-----|
| 23 | Shareholder Proposal<br>Regarding Median Gender<br>and Racial Pay Equity Report                            | ShrHoldr | Against | Against | For |
| 24 | Shareholder Proposal<br>Regarding Cost Benefit<br>Analysis of DEI Programs                                 | ShrHoldr | Against | Against | For |
| 25 | Shareholder Proposal<br>Regarding Shareholder<br>Approval of Advance Notice<br>Provisions                  | ShrHoldr | Against | Against | For |
| 26 | Shareholder Proposal<br>Regarding Third-Party<br>Assessment of Freedom of<br>Association                   | ShrHoldr | Against | Against | For |
| 27 | Shareholder Proposal<br>Regarding Employee Salary<br>Considerations When Setting<br>Executive Compensation | ShrHoldr | Against | Against | For |
| 28 | Shareholder Proposal<br>Regarding Report Evaluating<br>Animal Welfare Standards                            | ShrHoldr | Against | Against | For |
| 29 | Shareholder Proposal<br>Regarding Formation of<br>Public Policy Committee                                  | ShrHoldr | Against | Against | For |
| 30 | Shareholder Proposal<br>Regarding Hourly Associate<br>Representation on the Board                          | ShrHoldr | Against | Against | For |
| 31 | Shareholder Proposal<br>Regarding Report on Working<br>Conditions  | ShrHoldr | Against | Against | For |

| 32 | Shareholder Proposal<br>Regarding Report on Plastic<br>Packaging                                  | ShrHoldr | Against | Against | For |
|----|---|----------|---------|---------|-----|
| 33 | Shareholder Proposal<br>Regarding the Human Rights<br>Impacts of Facial Recognition<br>Technology | ShrHoldr | Against | Against | For |

#### American Tower Corporation

| Ticker          | Security ID:             | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--------------------------|-----------------|----------|-------------------|-------------------|
| AMT             | CUSIP 03027X100          | 05/24/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade         |                 |          |                   |                   |
| Annual          | United States            |                 |          |                   |                   |
| Issue No.       | Description              | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Thomas A. Bartlett | Mgmt            | For      | For               | For               |
| 2               | Elect Kelly C. Chambliss | Mgmt            | For      | For               | For               |
| 3               | Elect Teresa H. Clarke   | Mgmt            | For      | For               | For               |
| 4               | Elect Raymond P. Dolan   | Mgmt            | For      | For               | For               |
| 5               | Elect Kenneth R. Frank   | Mgmt            | For      | For               | For               |
| 6               | Elect Robert D. Hormats  | Mgmt            | For      | For               | For               |
| 7               | Elect Grace D. Lieblein  | Mgmt            | For      | For               | For               |
| 8               | Elect Craig Macnab       | Mgmt            | For      | For               | For               |
| 9               | Elect JoAnn A. Reed      | Mgmt            | For      | For               | For               |
| 10              | Elect Pamela D.A. Reeve  | Mgmt            | For      | For               | For               |
| 11              | Elect Bruce L. Tanner    | Mgmt            | For      | For               | For               |

| 12 | Elect Samme L. Thompson                                 | Mgmt | For    | For    | For |
|----|---|------|--------|--------|-----|
| 13 | Ratification of Auditor                                 | Mgmt | For    | For    | For |
| 14 | Advisory Vote on Executive<br>Compensation              | Mgmt | For    | For    | For |
| 15 | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt | 1 Year | 1 Year | For |

## AMGEN Inc.

| Ticker          | Security ID:                 | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|------------------------------|-----------------|----------|-------------------|-------------------|
| AMGN            | CUSIP 031162100              | 05/19/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade             |                 |          |                   |                   |
| Annual          | United States                |                 |          |                   |                   |
| Issue No.       | Description                  | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Wanda M. Austin        | Mgmt            | For      | For               | For               |
| 2               | Elect Robert A. Bradway      | Mgmt            | For      | For               | For               |
| 3               | Elect Michael V. Drake       | Mgmt            | For      | For               | For               |
| 4               | Elect Brian J. Druker        | Mgmt            | For      | For               | For               |
| 5               | Elect Robert A. Eckert       | Mgmt            | For      | For               | For               |
| 6               | Elect Greg C. Garland        | Mgmt            | For      | For               | For               |
| 7               | Elect Charles M. Holley, Jr. | Mgmt            | For      | For               | For               |
| 8               | Elect S. Omar Ishrak         | Mgmt            | For      | For               | For               |
| 9               | Elect Tyler Jacks            | Mgmt            | For      | For               | For               |
| 10              | Elect Ellen J. Kullman       | Mgmt            | For      | For               | For               |
| 11              | Elect Amy E. Miles           | Mgmt            | For      | For               | For               |
| 12              | Elect Ronald D. Sugar        | Mgmt            | For      | For               | For               |

| 13 | Elect R. Sanders Williams                               | Mgmt | For    | For    | For |
|----|---|------|--------|--------|-----|
| 14 | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt | 1 Year | 1 Year | For |
| 15 | Advisory Vote on Executive<br>Compensation              | Mgmt | For    | For    | For |
| 16 | Ratification of Auditor                                 | Mgmt | For    | For    | For |

## Apple Inc.

| Ticker          | Security ID:   | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--|-----------------|----------|-------------------|-------------------|
| AAPL            | CUSIP 037833100                                      | 03/10/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                                     |                 |          |                   |                   |
| Annual          | United States  |                 |          |                   |                   |
| Issue No.       | Description  | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Director James Bell                            | Mgmt            | For      | For               | For               |
| 2               | Elect Director Tim Cook                              | Mgmt            | For      | For               | For               |
| 3               | Elect Director Al Gore                               | Mgmt            | For      | For               | For               |
| 4               | Elect Director Alex Gorsky                           | Mgmt            | For      | For               | For               |
| 5               | Elect Director Andrea Jung                           | Mgmt            | For      | For               | For               |
| 6               | Elect Director Art Levinson                          | Mgmt            | For      | For               | For               |
| 7               | Elect Director Monica Lozano                         | Mgmt            | For      | For               | For               |
| 8               | Elect Director Ron Sugar                             | Mgmt            | For      | For               | For               |
| 9               | Elect Director Sue Wagner                            | Mgmt            | For      | For               | For               |
| 10              | Ratify Ernst & Young LLP as<br>Auditors              | Mgmt            | For      | For               | For               |
| 11              | Advisory Vote to Ratify<br>Named Executive Officers' | Mgmt            | For      | For               | For               |

Compensation

| 12 | Advisory Vote on Say on Pay<br>Frequency  | Mgmt     | 1 Year  | 1 Year  | For |
|----|---|----------|---------|---------|-----|
| 13 | Report on Civil Rights and<br>Non-Discrimination Audit  | ShrHoldr | Against | Against | For |
| 14 | Report on Operations in<br>Communist China  | ShrHoldr | Against | Against | For |
| 15 | Adopt a Policy Establishing<br>an Engagement Process with<br>Proponents to Shareholder<br>Proposals | ShrHoldr | Against | Against | For |
| 16 | Report on Median<br>Gender/Racial Pay Gap   | ShrHoldr | Against | Against | For |
| 17 | Amend Proxy Access Right  | ShrHoldr | Against | Against | For |

# Applied Materials, Inc.

| Ticker          | Security ID:                      | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|-----------------------------------|-----------------|----------|-------------------|-------------------|
| AMAT            | CUSIP 038222105                   | 03/09/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                  |                 |          |                   |                   |
| Annual          | United States                     |                 |          |                   |                   |
| Issue No.       | Description                       | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Director Rani Borkar        | Mgmt            | For      | For               | For               |
| 2               | Elect Director Judy Bruner        | Mgmt            | For      | For               | For               |
| 3               | Elect Director Xun (Eric)<br>Chen | Mgmt            | For      | For               | For               |
| 4               | Elect Director Aart J. de Geus    | Mgmt            | For      | For               | For               |

| 5  | Elect Director Gary E.<br>Dickerson                                       | Mgmt     | For     | For     | For |
|----|---|----------|---------|---------|-----|
| 6  | Elect Director Thomas J.<br>Iannotti                                      | Mgmt     | For     | For     | For |
| 7  | Elect Director Alexander A.<br>Karsner                                    | Mgmt     | For     | For     | For |
| 8  | Elect Director Kevin P. March   | Mgmt     | For     | For     | For |
| 9  | Elect Director Yvonne McGill  | Mgmt     | For     | For     | For |
| 10 | Elect Director Scott A.<br>McGregor                                       | Mgmt     | For     | For     | For |
| 11 | Advisory Vote to Ratify<br>Named Executive Officers'<br>Compensation      | Mgmt     | For     | For     | For |
| 12 | Advisory Vote on Say on Pay<br>Frequency                                  | Mgmt     | 1 Year  | 1 Year  | For |
| 13 | Ratify KPMG LLP as Auditors   | Mgmt     | For     | For     | For |
| 14 | Reduce Ownership<br>Threshold for Shareholders<br>to Call Special Meeting | ShrHoldr | Against | Against | For |
| 15 | Improve Executive<br>Compensation Program and<br>Policy                   | ShrHoldr | Against | Against | For |

## ASML Holding N.V.

| Ticker          | Security ID:     | Meeting<br>Date | Meeting<br>Status |
|-----------------|------------------|-----------------|-------------------|
| ASML            | CUSIP N07059210  | 04/26/2023      | Voted             |
| Meeting<br>Type | Country of Trade |                 |                   |
| Annual          | United States    |                 |                   |

| I | lssue No. | Description  | Proponent | Mgmt Rec | Vote Cast | For/Agnst<br>Mgmt |
|---|-----------|--|-----------|----------|-----------|-------------------|
| - | 1         | Remuneration Report                                    | Mgmt      | For      | For       | For               |
| 2 | 2         | Accounts and Reports                                   | Mgmt      | For      | For       | For               |
| ( | 3         | Allocation of Dividends                                | Mgmt      | For      | For       | For               |
| 2 | 4         | Ratification of Management<br>Board Acts               | Mgmt      | For      | For       | For               |
| Į | 5         | Ratification of Supervisory<br>Board Acts              | Mgmt      | For      | For       | For               |
| ( | 6         | Long-Term Incentive Plan;<br>Authority to Issue Shares | Mgmt      | For      | For       | For               |
| - | 7         | Supervisory Board<br>Remuneration Policy               | Mgmt      | For      | For       | For               |
| 8 | 8         | Supervisory Board Fees                                 | Mgmt      | For      | For       | For               |
| ( | 9         | Elect Nils Andersen to the<br>Supervisory Board        | Mgmt      | For      | For       | For               |
| - | 10        | Elect Jack P. de Kreij to the<br>Supervisory Board     | Mgmt      | For      | For       | For               |
| - | 11        | Appointment of Auditor                                 | Mgmt      | For      | For       | For               |
| - | 12        | Authority to Issue Shares w/<br>Preemptive Rights      | Mgmt      | For      | For       | For               |
| - | 13        | Authority to Suppress<br>Preemptive Rights             | Mgmt      | For      | For       | For               |
| - | 14        | Authority to Repurchase<br>Shares                      | Mgmt      | For      | For       | For               |
| - | 15        | Cancellation of Shares                                 | Mgmt      | For      | For       | For               |

## Bank of America Corporation

|                 |  | Date       |          | Status    |                   |
|-----------------|--|------------|----------|-----------|-------------------|
| BAC             | CUSIP 060505583  | 04/25/2023 |          | Voted     |                   |
| Meeting<br>Type | Country of Trade   |            |          |           |                   |
| Annual          | United States  |            |          |           |                   |
| Issue No.       | Description  | Proponent  | Mgmt Rec | Vote Cast | For/Agnst<br>Mgmt |
| 1               | Elect Sharon L. Allen  | Mgmt       | For      | For       | For               |
| 2               | Elect Jose E. Almeida  | Mgmt       | For      | For       | For               |
| 3               | Elect Frank P. Bramble, Sr.                                    | Mgmt       | For      | For       | For               |
| 4               | Elect Pierre J. P. de Weck                                     | Mgmt       | For      | For       | For               |
| 5               | Elect Arnold W. Donald   | Mgmt       | For      | For       | For               |
| 6               | Elect Linda P. Hudson  | Mgmt       | For      | For       | For               |
| 7               | Elect Monica C. Lozano   | Mgmt       | For      | For       | For               |
| 8               | Elect Brian T. Moynihan  | Mgmt       | For      | For       | For               |
| 9               | Elect Lionel L. Nowell, III                                    | Mgmt       | For      | For       | For               |
| 10              | Elect Denise L. Ramos  | Mgmt       | For      | For       | For               |
| 11              | Elect Clayton S. Rose  | Mgmt       | For      | For       | For               |
| 12              | Elect Michael D. White   | Mgmt       | For      | For       | For               |
| 13              | Elect Thomas D. Woods  | Mgmt       | For      | For       | For               |
| 14              | Elect Maria T. Zuber   | Mgmt       | For      | For       | For               |
| 15              | Advisory Vote on Executive<br>Compensation                     | Mgmt       | For      | For       | For               |
| 16              | Frequency of Advisory Vote<br>on Executive Compensation        | Mgmt       | 1 Year   | 1 Year    | For               |
| 17              | Ratification of Auditor  | Mgmt       | For      | For       | For               |
| 18              | Amendment to the Bank of<br>America Corporation Equity<br>Plan | Mgmt       | For      | For       | For               |
| 19              | Shareholder Proposal   | ShrHoldr   | Against  | Against   | For               |

|    | Regarding Independent Chair  |          |         |         |     |
|----|--|----------|---------|---------|-----|
| 20 | Shareholder Proposal<br>Regarding Severance<br>Approval Policy   | ShrHoldr | Against | Against | For |
| 21 | Shareholder Proposal<br>Regarding Report on<br>Absolute GHG Targets and<br>Alignment with Paris<br>Agreement | ShrHoldr | Against | Against | For |
| 22 | Shareholder Proposal<br>Regarding Transition Plan<br>Report for Financing<br>Activities                      | ShrHoldr | Against | Against | For |
| 23 | Shareholder Proposal<br>Regarding Fossil Fuel<br>Lending and Underwriting<br>Policy                          | ShrHoldr | Against | Against | For |
| 24 | Shareholder Proposal<br>Regarding a Racial Equity<br>Audit   | ShrHoldr | Against | Against | For |

## Boston Properties, Inc.

| Ticker          | Security ID:     | Meeting<br>Date    | Meeting<br>Status |                   |
|-----------------|------------------|--------------------|-------------------|-------------------|
| BXP             | CUSIP 101121101  | 05/23/2023         | Voted             |                   |
| Meeting<br>Type | Country of Trade |                    |                   |                   |
| Annual          | United States    |                    |                   |                   |
| Issue No.       | Description      | Proponent Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |

| 1  | Elect Kelly A. Ayotte                                   | Mgmt | For    | For    | For |
|----|---|------|--------|--------|-----|
| 2  | Elect Bruce W. Duncan                                   | Mgmt | For    | For    | For |
| 3  | Elect Carol B. Einiger                                  | Mgmt | For    | For    | For |
| 4  | Elect Diane J. Hoskins                                  | Mgmt | For    | For    | For |
| 5  | Elect Mary E. Kipp                                      | Mgmt | For    | For    | For |
| 6  | Elect Joel I. Klein                                     | Mgmt | For    | For    | For |
| 7  | Elect Douglas T. Linde                                  | Mgmt | For    | For    | For |
| 8  | Elect Matthew J. Lustig                                 | Mgmt | For    | For    | For |
| 9  | Elect Owen D. Thomas                                    | Mgmt | For    | For    | For |
| 10 | Elect William H. Walton, III                            | Mgmt | For    | For    | For |
| 11 | Elect Derek Anthony West                                | Mgmt | For    | For    | For |
| 12 | Advisory Vote on Executive<br>Compensation              | Mgmt | For    | For    | For |
| 13 | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt | 1 Year | 1 Year | For |
| 14 | Ratification of Auditor                                 | Mgmt | For    | For    | For |

## Boston Scientific Corporation

| Ticker          | Security ID:           | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|------------------------|-----------------|----------|-------------------|-------------------|
| BSX             | CUSIP 101137107        | 05/04/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade       |                 |          |                   |                   |
| Annual          | United States          |                 |          |                   |                   |
| Issue No.       | Description            | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Nelda J. Connors | Mgmt            | For      | For               | For               |

| 2  | Elect Charles J. Dockendorff                            | Mgmt | For    | For    | For |
|----|---|------|--------|--------|-----|
| 3  | Elect Yoshiaki Fujimori                                 | Mgmt | For    | For    | For |
| 4  | Elect Edward J. Ludwig                                  | Mgmt | For    | For    | For |
| 5  | Elect Michael F. Mahoney                                | Mgmt | For    | For    | For |
| 6  | Elect David J. Roux                                     | Mgmt | For    | For    | For |
| 7  | Elect John E. Sununu                                    | Mgmt | For    | For    | For |
| 8  | Elect David S. Wichmann                                 | Mgmt | For    | For    | For |
| 9  | Elect Ellen M. Zane                                     | Mgmt | For    | For    | For |
| 10 | Advisory Vote on Executive<br>Compensation              | Mgmt | For    | For    | For |
| 11 | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt | 1 Year | 1 Year | For |
| 12 | Ratification of Auditor                                 | Mgmt | For    | For    | For |

## Chipotle Mexican Grill, Inc.

| Ticker          | Security ID:  | Meeting<br>Date |            | Meeting<br>Status |                   |
|-----------------|---|-----------------|------------|-------------------|-------------------|
| CMG             | CUSIP 169656105   | 05/25/2023      |            | Voted             |                   |
| Meeting<br>Type | Country of Trade  |                 |            |                   |                   |
| Annual          | United States   |                 |            |                   |                   |
| Issue No.       | Description   | Proponent       | Mgmt Rec   | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Albert S. Baldocchi                               | Mgmt            | For        | For               | For               |
| 2               | Elect Matthew Carey                                     | Mgmt            | For        | For               | For               |
|                 |   |                 |            |                   |                   |
| 3               | Elect Gregg L. Engles                                   | Mgmt            | For        | For               | For               |
| 3<br>4          | Elect Gregg L. Engles<br>Elect Patricia D. Fili-Krushel | Mgmt<br>Mgmt    | For<br>For | For<br>For        | For<br>For        |

| 6  | Elect Robin Hickenlooper  | Mgmt     | For     | For     | For |
|----|---|----------|---------|---------|-----|
| 7  | Elect Scott H. Maw  | Mgmt     | For     | For     | For |
| 8  | Elect Brian Niccol  | Mgmt     | For     | For     | For |
| 9  | Elect Mary A. Winston   | Mgmt     | For     | For     | For |
| 10 | Advisory Vote on Executive<br>Compensation  | Mgmt     | For     | For     | For |
| 11 | Frequency of Advisory Vote<br>on Executive Compensation                                   | Mgmt     | 1 Year  | 1 Year  | For |
| 12 | Ratification of Auditor   | Mgmt     | For     | For     | For |
| 13 | Shareholder Proposal<br>Regarding Shareholder<br>Approval of Advance Notice<br>Provisions | ShrHoldr | Against | Against | For |
| 14 | Shareholder Proposal<br>Regarding Policy on<br>Freedom of Association                     | ShrHoldr | Against | Against | For |

#### Constellation Energy Corporation

| Ticker          | Security ID:            | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|-------------------------|-----------------|----------|-------------------|-------------------|
| CEG             | CUSIP 21037T109         | 04/25/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade        |                 |          |                   |                   |
| Annual          | United States           |                 |          |                   |                   |
| Issue No.       | Description             | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1.1             | Elect Joseph Dominguez  | Mgmt            | For      | For               | For               |
| 1.2             | Elect Julie Holzrichter | Mgmt            | For      | For               | For               |
| 1.3             | Elect Ashish Khandpur   | Mgmt            | For      | For               | For               |

| 2 | Advisory Vote on Executive<br>Compensation              | Mgmt | For    | For    | For |  |
|---|---|------|--------|--------|-----|--|
| 3 | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt | 1 Year | 1 Year | For |  |
| 4 | Ratification of Auditor                                 | Mgmt | For    | For    | For |  |

### Danaher Corporation

| Ticker          | Security ID:                 | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|------------------------------|-----------------|----------|-------------------|-------------------|
| DHR             | CUSIP 235851102              | 05/09/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade             |                 |          |                   |                   |
| Annual          | United States                |                 |          |                   |                   |
| Issue No.       | Description                  | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Rainer M. Blair        | Mgmt            | For      | For               | For               |
| 2               | Elect Feroz Dewan            | Mgmt            | For      | For               | For               |
| 3               | Elect Linda P. Hefner Filler | Mgmt            | For      | For               | For               |
| 4               | Elect Teri L. List           | Mgmt            | For      | For               | For               |
| 5               | Elect Walter G. Lohr, Jr.    | Mgmt            | For      | For               | For               |
| 6               | Elect Jessica L. Mega        | Mgmt            | For      | For               | For               |
| 7               | Elect Mitchell P. Rales      | Mgmt            | For      | For               | For               |
| 8               | Elect Steven M. Rales        | Mgmt            | For      | For               | For               |
| 9               | Elect Pardis C. Sabeti       | Mgmt            | For      | For               | For               |
| 10              | Elect A. Shane Sanders       | Mgmt            | For      | For               | For               |
| 11              | Elect John T. Schwieters     | Mgmt            | For      | For               | For               |
| 12              | Elect Alan G. Spoon          | Mgmt            | For      | For               | For               |
| 13              | Elect Raymond C. Stevens     | Mgmt            | For      | For               | For               |

| 14 | Elect Elias A. Zerhouni   | Mgmt     | For     | For     | For |
|----|---|----------|---------|---------|-----|
| 15 | Ratification of Auditor   | Mgmt     | For     | For     | For |
| 16 | Advisory Vote on Executive<br>Compensation                          | Mgmt     | For     | For     | For |
| 17 | Frequency of Advisory Vote<br>on Executive Compensation             | Mgmt     | 1 Year  | 1 Year  | For |
| 18 | Shareholder Proposal<br>Regarding Independent Chair                 | ShrHoldr | Against | Against | For |
| 19 | Shareholder Proposal<br>Regarding Diversity and<br>Inclusion Report | ShrHoldr | Against | Against | For |

## Deere & Company

| Ticker          | Security ID:                               | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--|-----------------|----------|-------------------|-------------------|
| DE              | CUSIP 244199105                            | 02/22/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                           |                 |          |                   |                   |
| Annual          | United States                              |                 |          |                   |                   |
| Issue No.       | Description                                | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Director Leanne G.<br>Caret          | Mgmt            | For      | For               | For               |
| 2               | Elect Director Tamra A. Erwin              | Mgmt            | For      | For               | For               |
| 3               | Elect Director Alan C.<br>Heuberger        | Mgmt            | For      | For               | For               |
| 4               | Elect Director Charles O.<br>Holliday, Jr. | Mgmt            | For      | For               | For               |
| 5               | Elect Director Michael O.<br>Johanns       | Mgmt            | For      | For               | For               |

| 6  | Elect Director Clayton M.<br>Jones  | Mgmt     | For     | For     | For |
|----|---|----------|---------|---------|-----|
| 7  | Elect Director John C. May  | Mgmt     | For     | For     | For |
| 8  | Elect Director Gregory R.<br>Page   | Mgmt     | For     | For     | For |
| 9  | Elect Director Sherry M.<br>Smith   | Mgmt     | For     | For     | For |
| 10 | Elect Director Dmitri L.<br>Stockton                                      | Mgmt     | For     | For     | For |
| 11 | Elect Director Sheila G.<br>Talton  | Mgmt     | For     | For     | For |
| 12 | Advisory Vote to Ratify<br>Named Executive Officers'<br>Compensation      | Mgmt     | For     | For     | For |
| 13 | Advisory Vote on Say on Pay<br>Frequency                                  | Mgmt     | 1 Year  | 1 Year  | For |
| 14 | Ratify Deloitte & Touche LLP<br>as Auditors                               | Mgmt     | For     | For     | For |
| 15 | Submit Severance<br>Agreement (Change-in-<br>Control) to Shareholder Vote | ShrHoldr | Against | Against | For |

#### Dollar General Corporation

| Ticker          | Security ID:     | Meeting<br>Date    | Meeting<br>Status |           |
|-----------------|------------------|--------------------|-------------------|-----------|
| DG              | CUSIP 256677105  | 05/31/2023         | Voted             |           |
| Meeting<br>Type | Country of Trade |                    |                   |           |
| Annual          | United States    |                    |                   |           |
| Issue No.       | Description      | Proponent Mgmt Rec | Vote Cast         | For/Agnst |

|    |   |          |         |         | Mgmt    |
|----|---|----------|---------|---------|---------|
| 1  | Elect Warren F. Bryant  | Mgmt     | For     | For     | For     |
| 2  | Elect Michael M. Calbert  | Mgmt     | For     | For     | For     |
| 3  | Elect Ana M. Chadwick   | Mgmt     | For     | For     | For     |
| 4  | Elect Patricia D. Fili-Krushel  | Mgmt     | For     | For     | For     |
| 5  | Elect Timothy I. McGuire  | Mgmt     | For     | For     | For     |
| 6  | Elect Jeffery C. Owen   | Mgmt     | For     | For     | For     |
| 7  | Elect Debra A. Sandler  | Mgmt     | For     | For     | For     |
| 8  | Elect Ralph E. Santana  | Mgmt     | For     | For     | For     |
| 9  | Elect Todd J. Vasos   | Mgmt     | For     | For     | For     |
| 10 | Advisory Vote on Executive<br>Compensation  | Mgmt     | For     | For     | For     |
| 11 | Frequency of Advisory Vote<br>on Executive Compensation   | Mgmt     | 1 Year  | 1 Year  | For     |
| 12 | Ratification of Auditor   | Mgmt     | For     | For     | For     |
| 13 | Shareholder Proposal<br>Regarding Cage-Free Eggs  | ShrHoldr | Against | Against | For     |
| 14 | Shareholder Proposal<br>Regarding Length of Share<br>Ownership Required to Call<br>Special Meetings | ShrHoldr | Against | For     | Against |
| 15 | Shareholder Proposal<br>Regarding Third-Party Audit<br>of Worker Safety and Well-<br>Being          | ShrHoldr | Against | Against | For     |

#### **DSM Firmenich AG**

Ticker

Security ID:

Meeting Date Meeting Status

| DSFIR           | CINS H0245V108                          | 06/29/2023 |          | Voted     |                   |
|-----------------|---|------------|----------|-----------|-------------------|
| Meeting<br>Type | Country of Trade                        |            |          |           |                   |
| Special         | Switzerland                             |            |          |           |                   |
| Issue No        | b. Description                          | Proponent  | Mgmt Rec | Vote Cast | For/Agnst<br>Mgmt |
| 1               | Non-Voting Meeting Note                 | N/A        | N/A      | N/A       | N/A               |
| 2               | Non-Voting Meeting Note                 | N/A        | N/A      | N/A       | N/A               |
| 3               | Accounts and Reports                    | Mgmt       | For      | For       | For               |
| 4               | Dividends from Reserves                 | Mgmt       | For      | For       | For               |
| 5               | Board Compensation                      | Mgmt       | For      | For       | For               |
| 6               | Executive Compensation                  | Mgmt       | For      | For       | For               |
| 7               | Amendments to Articles (Resolutions)    | Mgmt       | For      | For       | For               |
| 8               | Amendments to Articles (Share Register) | Mgmt       | For      | For       | For               |
| 9               | Additional or Amended<br>Proposals      | Mgmt       | N/A      | Against   | N/A               |
| 10              | Non-Voting Meeting Note                 | N/A        | N/A      | N/A       | N/A               |

#### Eastman Chemical Company

| Ticker          | Security ID:     | Meeting<br>Date    | Meeting<br>Status |       |
|-----------------|------------------|--------------------|-------------------|-------|
| EMN             | CUSIP 277432100  | 05/04/2023         | Voted             |       |
| Meeting<br>Type | Country of Trade |                    |                   |       |
| Annual          | United States    |                    |                   |       |
| Issue No.       | Description      | Proponent Mgmt Rec | Vote Cast For/    | Agnst |

|    |   |          |         |        | Mgmt    |
|----|---|----------|---------|--------|---------|
| 1  | Elect Humberto P. Alfonso                               | Mgmt     | For     | For    | For     |
| 2  | Elect Brett D. Begemann                                 | Mgmt     | For     | For    | For     |
| 3  | Elect Eric L. Butler                                    | Mgmt     | For     | For    | For     |
| 4  | Elect Mark J. Costa                                     | Mgmt     | For     | For    | For     |
| 5  | Elect Edward L. Doheny II                               | Mgmt     | For     | For    | For     |
| 6  | Elect Linnie M. Haynesworth                             | Mgmt     | For     | For    | For     |
| 7  | Elect Julie Fasone Holder                               | Mgmt     | For     | For    | For     |
| 8  | Elect Renee J. Hornbaker                                | Mgmt     | For     | For    | For     |
| 9  | Elect Kim Ann Mink                                      | Mgmt     | For     | For    | For     |
| 10 | Elect James J. O'Brien                                  | Mgmt     | For     | For    | For     |
| 11 | Elect David W. Raisbeck                                 | Mgmt     | For     | For    | For     |
| 12 | Elect Charles K. Stevens III                            | Mgmt     | For     | For    | For     |
| 13 | Ratification of Auditor                                 | Mgmt     | For     | For    | For     |
| 14 | Advisory Vote on Executive<br>Compensation              | Mgmt     | For     | For    | For     |
| 15 | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt     | 1 Year  | 1 Year | For     |
| 16 | Shareholder Proposal<br>Regarding Independent Chair     | ShrHoldr | Against | For    | Against |

#### Eli Lilly and Company

| Ticker          | Security ID:     | Meeting<br>Date | Meeting<br>Status |
|-----------------|------------------|-----------------|-------------------|
| LLY             | CUSIP 532457108  | 05/01/2023      | Voted             |
| Meeting<br>Type | Country of Trade |                 |                   |
| Annual          | United States    |                 |                   |

| Issue No. | Description   | Proponent | Mgmt Rec | Vote Cast | For/Agnst<br>Mgmt |
|-----------|---|-----------|----------|-----------|-------------------|
| 1         | Elect William G. Kaelin, Jr.  | Mgmt      | For      | For       | For               |
| 2         | Elect David A. Ricks  | Mgmt      | For      | For       | For               |
| 3         | Elect Marschall S. Runge  | Mgmt      | For      | For       | For               |
| 4         | Elect Karen Walker  | Mgmt      | For      | For       | For               |
| 5         | Advisory Vote on Executive<br>Compensation  | Mgmt      | For      | For       | For               |
| 6         | Frequency of Advisory Vote<br>on Executive Compensation   | Mgmt      | 1 Year   | 1 Year    | For               |
| 7         | Ratification of Auditor   | Mgmt      | For      | For       | For               |
| 8         | Repeal of Classified Board  | Mgmt      | For      | For       | For               |
| 9         | Elimination of Supermajority<br>Requirements  | Mgmt      | For      | For       | For               |
| 10        | Shareholder Proposal<br>Regarding Lobbying Report   | ShrHoldr  | Against  | Against   | For               |
| 11        | Shareholder Proposal<br>Regarding Simple Majority<br>Vote   | ShrHoldr  | Against  | For       | Against           |
| 12        | Shareholder Proposal<br>Regarding Extended Patent<br>Exclusivities and Application<br>for Secondary and Tertiary<br>Patents | ShrHoldr  | Against  | Against   | For               |
| 13        | Shareholder Proposal<br>Regarding Report on<br>Company Response to State<br>Policies Regulating Abortion                    | ShrHoldr  | Against  | Against   | For               |
| 14        | Shareholder Proposal<br>Regarding Lobbying Activities<br>and Values Congruency  | ShrHoldr  | Against  | Against   | For               |
| 15        | Shareholder Proposal<br>Regarding Diversity and   | ShrHoldr  | Against  | Against   | For               |

**Inclusion Report** 

ShrHoldr Against Against For

Shareholder Proposal Regarding Third-Party Political Expenditures Reporting

## Fortive Corporation

16

| Ticker          | Security ID:  | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---|-----------------|----------|-------------------|-------------------|
| FTV             | CUSIP 34959J108   | 06/06/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade  |                 |          |                   |                   |
| Annual          | United States   |                 |          |                   |                   |
| Issue No.       | Description   | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Eric Branderiz                                    | Mgmt            | For      | For               | For               |
| 2               | Elect Daniel L. Comas                                   | Mgmt            | For      | For               | For               |
| 3               | Elect Sharmistha Dubey                                  | Mgmt            | For      | For               | For               |
| 4               | Elect Rejji P. Hayes                                    | Mgmt            | For      | For               | For               |
| 5               | Elect Wright L. Lassiter III                            | Mgmt            | For      | For               | For               |
| 6               | Elect James A. Lico                                     | Mgmt            | For      | For               | For               |
| 7               | Elect Kate D. Mitchell                                  | Mgmt            | For      | For               | For               |
| 8               | Elect Jeannine P. Sargent                               | Mgmt            | For      | For               | For               |
| 9               | Elect Alan G. Spoon                                     | Mgmt            | For      | For               | For               |
| 10              | Advisory Vote on Executive<br>Compensation              | Mgmt            | For      | For               | For               |
| 11              | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt            | 1 Year   | 1 Year            | For               |
| 12              | Ratification of Auditor                                 | Mgmt            | For      | For               | For               |

13

Shareholder Proposal Regarding Severance Approval Policy

ShrHoldr Against Against For

General Motors Company

| Ticker          | Security ID:                | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|-----------------------------|-----------------|----------|-------------------|-------------------|
| GM              | CUSIP 37045V100             | 06/20/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade            |                 |          |                   |                   |
| Annual          | United States               |                 |          |                   |                   |
| Issue No.       | Description                 | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Mary T. Barra         | Mgmt            | For      | For               | For               |
| 2               | Elect Aneel Bhusri          | Mgmt            | For      | For               | For               |
| 3               | Elect Wesley G. Bush        | Mgmt            | For      | For               | For               |
| 4               | Elect Joanne C. Crevoiserat | Mgmt            | For      | For               | For               |
| 5               | Elect Linda R. Gooden       | Mgmt            | For      | For               | For               |
| 6               | Elect Joseph Jimenez        | Mgmt            | For      | For               | For               |
| 7               | Elect Jonathan McNeill      | Mgmt            | For      | For               | For               |
| 8               | Elect Judith A. Miscik      | Mgmt            | For      | Against           | Against           |
| 9               | Elect Patricia F. Russo     | Mgmt            | For      | For               | For               |
| 10              | Elect Thomas M. Schoewe     | Mgmt            | For      | For               | For               |
| 11              | Elect Mark A. Tatum         | Mgmt            | For      | For               | For               |
| 12              | Elect Jan E. Tighe          | Mgmt            | For      | For               | For               |
| 13              | Elect Devin N. Wenig        | Mgmt            | For      | For               | For               |
| 14              | Ratification of Auditor     | Mgmt            | For      | For               | For               |

| 15 | Advisory Vote on Executive<br>Compensation  | Mgmt     | For     | For     | For     |
|----|---|----------|---------|---------|---------|
| 16 | Amendment to the 2020<br>Long-Term Incentive Plan   | Mgmt     | For     | For     | For     |
| 17 | Shareholder Proposal<br>Regarding Report on<br>Corporate Operations with<br>China                     | ShrHoldr | Against | Against | For     |
| 18 | Shareholder Proposal<br>Regarding Right to Act by<br>Written Consent                                  | ShrHoldr | Against | For     | Against |
| 19 | Shareholder Proposal<br>Regarding Procurement<br>Targets for Sustainable<br>Materials in Supply Chain | ShrHoldr | Against | Against | For     |

#### **Heineken NV**

| Ticker          | Security ID:            | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|-------------------------|-----------------|----------|-------------------|-------------------|
| HEIA            | CINS N39427211          | 04/20/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade        |                 |          |                   |                   |
| Annual          | Netherlands             |                 |          |                   |                   |
| Issue No.       | Description             | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Non-Voting Meeting Note | N/A             | N/A      | N/A               | N/A               |
| 2               | Non-Voting Meeting Note | N/A             | N/A      | N/A               | N/A               |
| 3               | Non-Voting Agenda Item  | N/A             | N/A      | N/A               | N/A               |
| 4               | Remuneration Report     | Mgmt            | For      | For               | For               |
| 5               | Accounts and Reports    | Mgmt            | For      | For               | For               |

| 6  | Non-Voting Agenda Item  | N/A  | N/A | N/A     | N/A     |
|----|---|------|-----|---------|---------|
| 7  | Allocation of Dividends   | Mgmt | For | For     | For     |
| 8  | Ratification of Management<br>Board Acts                            | Mgmt | For | For     | For     |
| 9  | Ratification of Supervisory<br>Board Acts                           | Mgmt | For | For     | For     |
| 10 | Authority to Repurchase<br>Shares                                   | Mgmt | For | For     | For     |
| 11 | Authority to Issue Shares w/<br>Preemptive Rights                   | Mgmt | For | For     | For     |
| 12 | Authority to Suppress<br>Preemptive Rights                          | Mgmt | For | For     | For     |
| 13 | Elect Michel R. de Carvalho to the Supervisory Board                | Mgmt | For | Against | Against |
| 14 | Elect Rosemary L. Ripley to the Supervisory Board                   | Mgmt | For | Against | Against |
| 15 | Elect Beatriz Pardo to the<br>Supervisory Board                     | Mgmt | For | Against | Against |
| 16 | Elect Lodewijk Hijmans van<br>den Bergh to the Supervisory<br>Board | Mgmt | For | Against | Against |
| 17 | Appointment of Auditor  | Mgmt | For | For     | For     |
| 18 | Non-Voting Meeting Note   | N/A  | N/A | N/A     | N/A     |

## Hilton Worldwide Holdings Inc.

| Ticker  | Security ID:     | Meeting<br>Date | Meeting<br>Status |
|---------|------------------|-----------------|-------------------|
| HLT     | CUSIP 43300A203  | 05/18/2023      | Voted             |
| Meeting | Country of Trade |                 |                   |

| Туре      |  |           |          |           |                   |
|-----------|--|-----------|----------|-----------|-------------------|
| Annual    | United States                              |           |          |           |                   |
| Issue No. | Description                                | Proponent | Mgmt Rec | Vote Cast | For/Agnst<br>Mgmt |
| 1         | Elect Christopher J. Nassetta              | Mgmt      | For      | For       | For               |
| 2         | Elect Jonathan D. Gray                     | Mgmt      | For      | For       | For               |
| 3         | Elect Charlene T. Begley                   | Mgmt      | For      | For       | For               |
| 4         | Elect Chris Carr                           | Mgmt      | For      | For       | For               |
| 5         | Elect Melanie L. Healey                    | Mgmt      | For      | For       | For               |
| 6         | Elect Raymond E. Mabus, Jr.                | Mgmt      | For      | For       | For               |
| 7         | Elect Judith A. McHale                     | Mgmt      | For      | For       | For               |
| 8         | Elect Elizabeth A. Smith                   | Mgmt      | For      | For       | For               |
| 9         | Elect Douglas M. Steenland                 | Mgmt      | For      | For       | For               |
| 10        | Ratification of Auditor                    | Mgmt      | For      | For       | For               |
| 11        | Advisory Vote on Executive<br>Compensation | Mgmt      | For      | For       | For               |

## Ingersoll Rand Inc.

| Ticker          | Security ID:              | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---------------------------|-----------------|----------|-------------------|-------------------|
| IR              | CUSIP 45687V106           | 06/15/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade          |                 |          |                   |                   |
| Annual          | United States             |                 |          |                   |                   |
| Issue No.       | Description               | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Vicente Reynal      | Mgmt            | For      | For               | For               |
| 2               | Elect William P. Donnelly | Mgmt            | For      | For               | For               |

| 3  | Elect Kirk E. Arnold                                    | Mgmt | For    | For    | For |
|----|---|------|--------|--------|-----|
| 4  | Elect Gary D. Forsee                                    | Mgmt | For    | For    | For |
| 5  | Elect Jennifer Hartsock                                 | Mgmt | For    | For    | For |
| 6  | Elect John Humphrey                                     | Mgmt | For    | For    | For |
| 7  | Elect Marc E. Jones                                     | Mgmt | For    | For    | For |
| 8  | Elect Mark Stevenson                                    | Mgmt | For    | For    | For |
| 9  | Elect Michael Stubblefield                              | Mgmt | For    | For    | For |
| 10 | Elect Tony L. White                                     | Mgmt | For    | For    | For |
| 11 | Ratification of Auditor                                 | Mgmt | For    | For    | For |
| 12 | Advisory Vote on Executive<br>Compensation              | Mgmt | For    | For    | For |
| 13 | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt | 1 Year | 1 Year | For |
|    |   |      |        |        |     |

#### Intuit Inc.

| Ticker          | Security ID:                         | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--------------------------------------|-----------------|----------|-------------------|-------------------|
| INTU            | CUSIP 461202103                      | 01/19/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                     |                 |          |                   |                   |
| Annual          | United States                        |                 |          |                   |                   |
| Issue No.       | Description                          | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Director Eve Burton            | Mgmt            | For      | For               | For               |
| 2               | Elect Director Scott D. Cook         | Mgmt            | For      | For               | For               |
| 3               | Elect Director Richard L.<br>Dalzell | Mgmt            | For      | For               | For               |
| 4               | Elect Director Sasan K.<br>Goodarzi  | Mgmt            | For      | For               | For               |

| 5  | Elect Director Deborah Liu   | Mgmt | For | For | For |
|----|--|------|-----|-----|-----|
| 6  | Elect Director Tekedra<br>Mawakana                                   | Mgmt | For | For | For |
| 7  | Elect Director Suzanne Nora<br>Johnson                               | Mgmt | For | For | For |
| 8  | Elect Director Thomas<br>Szkutak                                     | Mgmt | For | For | For |
| 9  | Elect Director Raul Vazquez  | Mgmt | For | For | For |
| 10 | Advisory Vote to Ratify<br>Named Executive Officers'<br>Compensation | Mgmt | For | For | For |
| 11 | Ratify Ernst & Young LLP as<br>Auditors                              | Mgmt | For | For | For |
| 12 | Amend Qualified Employee<br>Stock Purchase Plan                      | Mgmt | For | For | For |

# JPMorgan Chase & Co.

| Ticker                | Security ID:                          | Meeting<br>Date   |                        | Meeting<br>Status |                    |
|-----------------------|---------------------------------------|-------------------|------------------------|-------------------|--------------------|
| JPM                   | CUSIP 46625H100                       | 05/16/2023        |                        | Voted             |                    |
| Meeting<br>Type       | Country of Trade                      |                   |                        |                   |                    |
| Annual                | United States                         |                   |                        |                   |                    |
|                       |                                       |                   |                        |                   |                    |
| Issue No.             | Description                           | Proponent         | Mgmt Rec               | Vote Cast         | For/Agnst<br>Mgmt  |
| <b>Issue No.</b><br>1 | Description<br>Elect Linda B. Bammann | Proponent<br>Mgmt | <b>Mgmt Rec</b><br>For | Vote Cast         | •                  |
|                       | ·                                     | •                 | Ū                      |                   | Mgmt               |
| 1                     | Elect Linda B. Bammann                | Mgmt              | For                    | For               | <b>Mgmt</b><br>For |

| 5  | Elect Alicia Boler Davis   | Mgmt     | For     | For     | For |
|----|--|----------|---------|---------|-----|
| 6  | Elect James Dimon  | Mgmt     | For     | For     | For |
| 7  | Elect Timothy P. Flynn   | Mgmt     | For     | For     | For |
| 8  | Elect Alex Gorsky  | Mgmt     | For     | For     | For |
| 9  | Elect Mellody Hobson   | Mgmt     | For     | For     | For |
| 10 | Elect Michael A. Neal  | Mgmt     | For     | For     | For |
| 11 | Elect Phebe N. Novakovic   | Mgmt     | For     | For     | For |
| 12 | Elect Virginia M. Rometty  | Mgmt     | For     | For     | For |
| 13 | Advisory Vote on Executive<br>Compensation   | Mgmt     | For     | For     | For |
| 14 | Frequency of Advisory Vote<br>on Executive Compensation  | Mgmt     | 1 Year  | 1 Year  | For |
| 15 | Ratification of Auditor  | Mgmt     | For     | For     | For |
| 16 | Shareholder Proposal<br>Regarding Independent Chair  | ShrHoldr | Against | Against | For |
| 17 | Shareholder Proposal<br>Regarding Fossil Fuel<br>Lending and Underwriting<br>Policy                          | ShrHoldr | Against | Against | For |
| 18 | Shareholder Proposal<br>Regarding Revision of<br>Committee Charter to Include<br>Oversight of Animal Welfare | ShrHoldr | Against | Against | For |
| 19 | Shareholder Proposal<br>Regarding Right to Call<br>Special Meetings by<br>Beneficial Owners                  | ShrHoldr | Against | Against | For |
| 20 | Shareholder Proposal<br>Regarding Transition Plan<br>Report for Financing<br>Activities                      | ShrHoldr | Against | Against | For |
| 21 | Shareholder Proposal   | ShrHoldr | Against | Against | For |
|    |  |          |         |         |     |

|    | Regarding Report on Board<br>Oversight of Discrimination                                       |          |         |         |     |
|----|--|----------|---------|---------|-----|
| 22 | Shareholder Proposal<br>Regarding Report on Political<br>Expenditures and Values<br>Congruency | ShrHoldr | Against | Against | For |
| 23 | Shareholder Proposal<br>Regarding Absolute GHG<br>Reduction Targets                            | ShrHoldr | Against | Against | For |

### Koninklijke DSM NV

| Ticker          | Security ID:  | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---|-----------------|----------|-------------------|-------------------|
| DSM             | CINS N5017D122  | 01/23/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade  |                 |          |                   |                   |
| Special         | Netherlands   |                 |          |                   |                   |
| Issue No.       | Description   | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Open Meeting  | Mgmt            | N/A      | N/A               | N/A               |
| 2               | Presentation on the<br>Transaction  | Mgmt            | N/A      | N/A               | N/A               |
| 3               | Approve Transaction, the<br>Exchange Offer, Conditional<br>Statutory Triangular Merger<br>and Authorize Managing<br>Board to Repurchase the<br>DSM Preference Shares A<br>and Conditional Cancellation<br>of the DSM Preference<br>Shares A | Mgmt            | For      | For               | For               |

| 4 | Approve Discharge of<br>Management Board  | Mgmt | For | For | For |  |
|---|---|------|-----|-----|-----|--|
| 5 | Approve Discharge of<br>Supervisory Board | Mgmt | For | For | For |  |
| 6 | Close Meeting                             | Mgmt | N/A | N/A | N/A |  |

#### Levi Strauss & Co.

| Ticker          | Security ID:                               | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--|-----------------|----------|-------------------|-------------------|
| LEVI            | ISIN US52736R1023                          | 04/19/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                           |                 |          |                   |                   |
| Annual          | United States                              |                 |          |                   |                   |
| Issue No.       | Description                                | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Jill Beraud                          | Mgmt            | For      | For               | For               |
| 2               | Elect Spencer C. Fleischer                 | Mgmt            | For      | For               | For               |
| 3               | Elect Christopher J.<br>McCormick          | Mgmt            | For      | For               | For               |
| 4               | Elect Elliott Rodgers                      | Mgmt            | For      | For               | For               |
| 5               | Advisory Vote on Executive<br>Compensation | Mgmt            | For      | For               | For               |
| 6               | Ratification of Auditor                    | Mgmt            | For      | For               | For               |

## Linde Plc

Ticker

Security ID:

Meeting Date Meeting Status

| LIN             | CINS G5494J103                   | 01/18/2023 |          | Voted |                   |
|-----------------|----------------------------------|------------|----------|-------|-------------------|
| Meeting<br>Type | Country of Trade                 |            |          |       |                   |
| Court           | Ireland                          |            |          |       |                   |
| Issue No.       | Description                      | Proponent  | Mgmt Rec |       | For/Agnst<br>Mgmt |
| 1               | Approve Scheme of<br>Arrangement | Mgmt       | For      | For   | For               |

## Linde Plc

| Ticker          | Security ID:                            | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---|-----------------|----------|-------------------|-------------------|
| LIN             | CINS G5494J103                          | 01/18/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                        |                 |          |                   |                   |
| Special         | Ireland                                 |                 |          |                   |                   |
| Issue No.       | Description                             | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Approve Scheme of<br>Arrangement        | Mgmt            | For      | For               | For               |
| 2               | Amend Articles of<br>Association        | Mgmt            | For      | For               | For               |
| 3               | Approve Common Draft<br>Terms of Merger | Mgmt            | For      | For               | For               |

### Linde Plc

Ticker

Security ID:

Meeting Date Meeting Status

| LIN             | CINS G5494J103   | 07/25/2022 |          | Voted     |                   |
|-----------------|--|------------|----------|-----------|-------------------|
| Meeting<br>Type | Country of Trade   |            |          |           |                   |
| Annual          | Ireland  |            |          |           |                   |
| Issue No.       | Description  | Proponent  | Mgmt Rec | Vote Cast | For/Agnst<br>Mgmt |
| 1               | Advisory Vote to Ratify<br>Named Executive Officers'<br>Compensation | Mgmt       | For      | For       | For               |
| 2               | Approve Remuneration<br>Report                                       | Mgmt       | For      | For       | For               |
| 3               | Determine Price Range for<br>Reissuance of Treasury<br>Shares        | Mgmt       | For      | For       | For               |
| 4               | Adopt Simple Majority Vote   | ShrHoldr   | Against  | For       | Against           |
| 5               | Elect Director Stephen F.<br>Angel                                   | Mgmt       | For      | For       | For               |
| 6               | Elect Director Sanjiv Lamba  | Mgmt       | For      | For       | For               |
| 7               | Elect Director Ann-Kristin<br>Achleitner                             | Mgmt       | For      | For       | For               |
| 8               | Elect Director Thomas<br>Enders                                      | Mgmt       | For      | For       | For               |
| 9               | Elect Director Edward G.<br>Galante                                  | Mgmt       | For      | For       | For               |
| 10              | Elect Director Joe Kaeser  | Mgmt       | For      | For       | For               |
| 11              | Elect Director Victoria E.<br>Ossadnik                               | Mgmt       | For      | For       | For               |
| 12              | Elect Director Martin H.<br>Richenhagen                              | Mgmt       | For      | For       | For               |
| 13              | Elect Director Alberto<br>Weisser                                    | Mgmt       | For      | For       | For               |
| 14              | Elect Director Robert L.   | Mgmt       | For      | For       | For               |

|                                     | 15              | Wood<br>Ratify<br>PricewaterhouseCoopers as<br>Auditors | Mgmt            | For      | For               | For              |
|-------------------------------------|-----------------|---|-----------------|----------|-------------------|------------------|
|                                     | 16              | Authorise Board to Fix<br>Remuneration of Auditors      | Mgmt            | For      | For               | For              |
| Lvmh Moet<br>Hennessy Vuitton<br>SE |                 |   |                 |          |                   |                  |
|                                     | Ticker          | Security ID:  | Meeting<br>Date |          | Meeting<br>Status |                  |
|                                     | MC              | CINS F58485115  | 04/20/2023      |          | Voted             |                  |
|                                     | Meeting<br>Type | Country of Trade  |                 |          |                   |                  |
|                                     | Mix             | France  |                 |          |                   |                  |
|                                     | Issue No.       | Description   | Proponent       | Mgmt Rec | Vote Cast         | For/Agns<br>Mgmt |
|                                     | 1               | Non-Voting Meeting Note                                 | N/A             | N/A      | N/A               | N/A              |
|                                     | 2               | Non-Voting Meeting Note                                 | N/A             | N/A      | N/A               | N/A              |
|                                     | 3               | Non-Voting Meeting Note                                 | N/A             | N/A      | N/A               | N/A              |
|                                     | 4               | Non-Voting Meeting Note                                 | N/A             | N/A      | N/A               | N/A              |
|                                     | 5               | Non-Voting Meeting Note                                 | N/A             | N/A      | N/A               | N/A              |
|                                     | 6               | Non-Voting Meeting Note                                 | N/A             | N/A      | N/A               | N/A              |
|                                     | 7               | Non-Voting Meeting Note                                 | N/A             | N/A      | N/A               | N/A              |
|                                     | 8               | Non-Voting Meeting Note                                 | N/A             | N/A      | N/A               | N/A              |
|                                     | 9               | Accounts and Reports                                    | Mgmt            | For      | For               | For              |
|                                     | 10              | Consolidated Accounts and<br>Reports                    | Mgmt            | For      | For               | For              |

| 11 | Allocation of<br>Profits/Dividends                        | Mgmt | For | For     | For     |
|----|---|------|-----|---------|---------|
| 12 | Special Auditors Report on<br>Regulated Agreements        | Mgmt | For | For     | For     |
| 13 | Elect Delphine Arnault                                    | Mgmt | For | For     | For     |
| 14 | Elect Antonio Belloni                                     | Mgmt | For | For     | For     |
| 15 | Elect Marie-Josee Kravis                                  | Mgmt | For | For     | For     |
| 16 | Elect Marie-Laure Sauty de<br>Chalon                      | Mgmt | For | For     | For     |
| 17 | Elect Natacha Valla                                       | Mgmt | For | For     | For     |
| 18 | Elect Laurent Mignon                                      | Mgmt | For | Against | Against |
| 19 | Elect Lord Powell of<br>Bayswater as Censor               | Mgmt | For | Against | Against |
| 20 | Elect Diego Della Valle as<br>Censor                      | Mgmt | For | Against | Against |
| 21 | 2022 Remuneration Report                                  | Mgmt | For | Against | Against |
| 22 | 2022 Remuneration of<br>Bernard Arnault, Chair and<br>CEO | Mgmt | For | Against | Against |
| 23 | 2022 Remuneration of<br>Antonio Belloni, Deputy CEO       | Mgmt | For | Against | Against |
| 24 | 2023 Remuneration Policy (Board of Directors)             | Mgmt | For | For     | For     |
| 25 | 2023 Remuneration Policy (Chair and CEO)                  | Mgmt | For | Against | Against |
| 26 | 2023 Remuneration Policy (Deputy CEO)                     | Mgmt | For | Against | Against |
| 27 | Authority to Repurchase and Reissue Shares                | Mgmt | For | For     | For     |
| 28 | Authority to Cancel Shares<br>and Reduce Capital          | Mgmt | For | For     | For     |

| 29Authority to Increase Capital<br>Through CapitalisationsMgmtForForForFor30Authority to Issue Shares and<br>Convertible Debt w/<br>Preemptive RightsMgmtForForFor31Authority to Issue Shares and<br>Convertible Debt w/o<br>Preemptive RightsMgmtForForFor32Authority to Issue Shares and<br>Convertible Debt w/o<br>Preemptive RightsMgmtForForFor32Authority to Issue Shares and<br>Convertible Debt Through<br>Private Placement (Qualified<br>Investors)MgmtForForFor33GreenshoeMgmtForForForFor34Authority to Increase Capital<br>in Case of Exchange OffersMgmtForForFor35Authority to Increase Capital<br>in Consideration for<br>Contributions In KindMgmtForForFor36Authority to Grant Stock<br>PlanMgmtForForForFor37Employee Stock Purchase<br>PlanMgmtForForForFor38Global Ceiling on Capital<br>Increases and Debt<br>IssuancesMgmtForForForFor |    |  |      |     |     |     |
|---|----|--|------|-----|-----|-----|
| Convertible Debt w/<br>Preemptive Rights31Authority to Issue Shares and<br>Convertible Debt w/o<br>Preemptive RightsMgmtForForFor32Authority to Issue Shares and<br>Convertible Debt Through<br>Private Placement (Qualified<br>Investors)MgmtForForFor33GreenshoeMgmtForForForFor34Authority to Increase Capital<br>in Case of Exchange OffersMgmtForForFor35Authority to Increase Capital<br>in Case of Exchange OffersMgmtForForFor36Authority to Increase Capital<br>in Consideration for<br>Contributions In KindMgmtForForFor37Employee Stock Purchase<br>PlanMgmtForForForFor38Global Ceiling on Capital<br>Increases and DebtMgmtForForForFor   | 29 |  | Mgmt | For | For | For |
| Convertible Debt w/o<br>Preemptive Rights32Authority to Issue Shares and<br>Convertible Debt Through<br>Private Placement (Qualified<br>Investors)MgmtForForFor33GreenshoeMgmtForForForFor34Authority to Increase Capital<br>in Case of Exchange OffersMgmtForForFor35Authority to Increase Capital<br>in Case of Exchange OffersMgmtForForFor36Authority to Increase Capital<br>in Consideration for<br>Contributions In KindMgmtForForFor36Authority to Grant Stock<br>OptionsMgmtForForForFor37Employee Stock Purchase<br>PlanMgmtForForForFor38Global Ceiling on Capital<br>Increases and DebtMgmtForForForFor  | 30 | Convertible Debt w/                                      | Mgmt | For | For | For |
| Convertible Debt Through<br>Private Placement (Qualified<br>Investors)MgmtForForFor33GreenshoeMgmtForForFor34Authority to Increase Capital<br>in Case of Exchange OffersMgmtForForFor35Authority to Increase Capital<br>in Consideration for<br>Contributions In KindMgmtForForFor36Authority to Grant Stock<br>OptionsMgmtForForFor37Employee Stock Purchase<br>PlanMgmtForForFor38Global Ceiling on Capital<br>Increases and DebtMgmtForForFor  | 31 | Convertible Debt w/o                                     | Mgmt | For | For | For |
| 34Authority to Increase Capital<br>in Case of Exchange OffersMgmtForForFor35Authority to Increase Capital<br>in Consideration for<br>Contributions In KindMgmtForForFor36Authority to Grant Stock<br>OptionsMgmtForForForFor37Employee Stock Purchase<br>PlanMgmtForForForFor38Global Ceiling on Capital<br>Increases and DebtMgmtForForFor   | 32 | Convertible Debt Through<br>Private Placement (Qualified | Mgmt | For | For | For |
| in Case of Exchange Offers<br>35 Authority to Increase Capital Mgmt For For For For<br>in Consideration for<br>Contributions In Kind<br>36 Authority to Grant Stock Mgmt For For For For<br>Options<br>37 Employee Stock Purchase Mgmt For For For For<br>Plan<br>38 Global Ceiling on Capital Mgmt For For For For   | 33 | Greenshoe  | Mgmt | For | For | For |
| in Consideration for<br>Contributions In Kind<br>36 Authority to Grant Stock Mgmt For For For<br>Options<br>37 Employee Stock Purchase Mgmt For For For<br>Plan<br>38 Global Ceiling on Capital Mgmt For For For For<br>Increases and Debt  | 34 |  | Mgmt | For | For | For |
| Options37Employee Stock PurchaseMgmtForForFor38Global Ceiling on Capital<br>Increases and DebtMgmtForForFor   | 35 | in Consideration for                                     | Mgmt | For | For | For |
| Plan<br>38 Global Ceiling on Capital Mgmt For For For<br>Increases and Debt   | 36 | •  | Mgmt | For | For | For |
| Increases and Debt  | 37 |  | Mgmt | For | For | For |
|   | 38 | Increases and Debt                                       | Mgmt | For | For | For |

## Merck & Co., Inc.

| Ticker  | Security ID:     | Meeting<br>Date | Meeting<br>Status |
|---------|------------------|-----------------|-------------------|
| MRK     | CUSIP 58933Y105  | 05/23/2023      | Voted             |
| Meeting | Country of Trade |                 |                   |

| Туре      |   |           |          |           |                   |
|-----------|---|-----------|----------|-----------|-------------------|
| Annual    | United States   |           |          |           |                   |
| Issue No. | Description   | Proponent | Mgmt Rec | Vote Cast | For/Agnst<br>Mgmt |
| 1         | Elect Douglas M. Baker, Jr.   | Mgmt      | For      | For       | For               |
| 2         | Elect Mary Ellen Coe  | Mgmt      | For      | For       | For               |
| 3         | Elect Pamela J. Craig   | Mgmt      | For      | For       | For               |
| 4         | Elect Robert M. Davis   | Mgmt      | For      | For       | For               |
| 5         | Elect Thomas H. Glocer  | Mgmt      | For      | For       | For               |
| 6         | Elect Risa Lavizzo-Mourey   | Mgmt      | For      | For       | For               |
| 7         | Elect Stephen L. Mayo   | Mgmt      | For      | For       | For               |
| 8         | Elect Paul B. Rothman   | Mgmt      | For      | For       | For               |
| 9         | Elect Patricia F. Russo   | Mgmt      | For      | For       | For               |
| 10        | Elect Christine E. Seidman  | Mgmt      | For      | For       | For               |
| 11        | Elect Inge G. Thulin  | Mgmt      | For      | For       | For               |
| 12        | Elect Kathy J. Warden   | Mgmt      | For      | For       | For               |
| 13        | Elect Peter C. Wendell  | Mgmt      | For      | For       | For               |
| 14        | Advisory Vote on Executive<br>Compensation  | Mgmt      | For      | For       | For               |
| 15        | Frequency of Advisory Vote<br>on Executive Compensation                           | Mgmt      | 1 Year   | 1 Year    | For               |
| 16        | Ratification of Auditor   | Mgmt      | For      | For       | For               |
| 17        | Shareholder Proposal<br>Regarding Report on<br>Corporate Operations with<br>China | ShrHoldr  | Against  | Against   | For               |
| 18        | Shareholder Proposal<br>Regarding Report on Access<br>to COVID-19 Products        | ShrHoldr  | Against  | Against   | For               |
| 19        | Shareholder Proposal<br>Regarding Third-Party                                     | ShrHoldr  | Against  | Against   | For               |

|    | Political Expenditures<br>Reporting   |          |         |         |     |
|----|---|----------|---------|---------|-----|
| 20 | Shareholder Proposal<br>Regarding Extended Patent<br>Exclusivities and Application<br>for Secondary and Tertiary<br>Patents | ShrHoldr | Against | Against | For |
| 21 | Shareholder Proposal<br>Regarding Congruency<br>Report of Partnerships with<br>Certain Organizations                        | ShrHoldr | Against | Against | For |
| 22 | Shareholder Proposal<br>Regarding Independent Chair   | ShrHoldr | Against | Against | For |

## Microsoft Corporation

| Ticker          | Security ID:                       | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|------------------------------------|-----------------|----------|-------------------|-------------------|
| MSFT            | CINS 594918104                     | 12/13/2022      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                   |                 |          |                   |                   |
| Annual          | USA                                |                 |          |                   |                   |
| Issue No.       | Description                        | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Director John W.<br>Thompson | Mgmt            | For      | For               | For               |
| 2               | Elect Director Reid G.<br>Hoffman  | Mgmt            | For      | For               | For               |
| 3               | Elect Director Emma N.<br>Walmsley | Mgmt            | For      | For               | For               |
| 4               | Elect Director Padmasree           | Mgmt            | For      | For               | For               |

|    | Warrior   |          |         |         |     |
|----|---|----------|---------|---------|-----|
| 5  | Elect Director Hugh F.<br>Johnston  | Mgmt     | For     | For     | For |
| 6  | Elect Director Teri L. List   | Mgmt     | For     | For     | For |
| 7  | Elect Director Satya Nadella  | Mgmt     | For     | For     | For |
| 8  | Elect Director Sandra E.<br>Peterson  | Mgmt     | For     | For     | For |
| 9  | Elect Director Penny S.<br>Pritzker   | Mgmt     | For     | For     | For |
| 10 | Elect Director Carlos A.<br>Rodriguez   | Mgmt     | For     | For     | For |
| 11 | Elect Director Charles W.<br>Scharf   | Mgmt     | For     | For     | For |
| 12 | Elect Director John W.<br>Stanton   | Mgmt     | For     | For     | For |
| 13 | Advisory Vote to Ratify<br>Named Executive Officers'<br>Compensation                              | Mgmt     | For     | For     | For |
| 14 | Ratify Deloitte & Touche LLP as Auditors  | Mgmt     | For     | For     | For |
| 15 | Report on Cost/Benefit<br>Analysis of Diversity and<br>Inclusion                                  | ShrHoldr | Against | Against | For |
| 16 | Report on Hiring of Persons<br>with Arrest or Incarceration<br>Records                            | ShrHoldr | Against | Against | For |
| 17 | Assess and Report on the<br>Company's Retirement<br>Funds' Management of<br>Systemic Climate Risk | ShrHoldr | Against | Against | For |
| 18 | Report on Government Use<br>of Microsoft Technology   | ShrHoldr | Against | Against | For |
| 19 | Report on Development of  | ShrHoldr | Against | Against | For |

20

ShrHoldr Against Against For

# NextEra Energy,

Inc.

| Ticker          | Security ID:                               | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--|-----------------|----------|-------------------|-------------------|
| NEE             | CUSIP 65339F101                            | 05/18/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                           |                 |          |                   |                   |
| Annual          | United States                              |                 |          |                   |                   |
| Issue No.       | Description                                | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Nicole S. Arnaboldi                  | Mgmt            | For      | For               | For               |
| 2               | Elect Sherry S. Barrat                     | Mgmt            | For      | For               | For               |
| 3               | Elect James L. Camaren                     | Mgmt            | For      | For               | For               |
| 4               | Elect Kenneth B. Dunn                      | Mgmt            | For      | For               | For               |
| 5               | Elect Naren K. Gursahaney                  | Mgmt            | For      | For               | For               |
| 6               | Elect Kirk S. Hachigian                    | Mgmt            | For      | For               | For               |
| 7               | Elect John W. Ketchum                      | Mgmt            | For      | For               | For               |
| 8               | Elect Amy B. Lane                          | Mgmt            | For      | For               | For               |
| 9               | Elect David L. Porges                      | Mgmt            | For      | For               | For               |
| 10              | Elect Dev Stahlkopf                        | Mgmt            | For      | For               | For               |
| 11              | Elect John Arthur Stall                    | Mgmt            | For      | For               | For               |
| 12              | Elect Darryl L. Wilson                     | Mgmt            | For      | For               | For               |
| 13              | Ratification of Auditor                    | Mgmt            | For      | For               | For               |
| 14              | Advisory Vote on Executive<br>Compensation | Mgmt            | For      | Against           | Against           |

| 15 | Frequency of Advisory Vote<br>on Executive Compensation                                   | Mgmt     | 1 Year  | 1 Year  | For |
|----|---|----------|---------|---------|-----|
| 16 | Shareholder Proposal<br>Regarding Disclosure of a<br>Board Diversity and Skills<br>Matrix | ShrHoldr | Against | Against | For |

# Novozymes A/S

| Ticker          | Security ID:  | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---|-----------------|----------|-------------------|-------------------|
| NZYM.B          | CINS K7317J133  | 03/02/2023      |          | Take No<br>Action |                   |
| Meeting<br>Type | Country of Trade  |                 |          |                   |                   |
| Annual          | Denmark   |                 |          |                   |                   |
| Issue No.       | Description   | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Receive Report of Board   | Mgmt            | N/A      | TNA               | N/A               |
| 2               | Accept Financial Statements<br>and Statutory Reports  | Mgmt            | For      | TNA               | N/A               |
| 3               | Approve Allocation of Income<br>and Dividends of DKK 6 Per<br>Share   | Mgmt            | For      | TNA               | N/A               |
| 4               | Approve Remuneration<br>Report  | Mgmt            | For      | TNA               | N/A               |
| 5               | Approve Remuneration of<br>Directors in the Amount of<br>DKK 1.6 Million for Chairman,<br>DKK1.07 Million for Vice<br>Chairman and DKK 535,000<br>for Other Directors;<br>ApproveRemuneration for | Mgmt            | For      | TNA               | N/A               |

Committee Work

| 6  | Reelect Cornelis de Jong<br>(Chair) as Director   | Mgmt | For | TNA | N/A |
|----|---|------|-----|-----|-----|
| 7  | Reelect Kim Stratton (Vice Chair) as Director   | Mgmt | For | TNA | N/A |
| 8  | Ratify<br>PricewaterhouseCoopers as<br>Auditors   | Mgmt | For | TNA | N/A |
| 9  | Other Business  | Mgmt | N/A | TNA | N/A |
| 10 | Approve Creation of DKK<br>56.2 Million Pool of Capital in<br>B Shares without Preemptive<br>Rights; DKK 56.2 Million Pool<br>of Capital with Preemptive<br>Rights; and Pool of Capital in<br>Warrants without Preemptive<br>Rights | Mgmt | For | TNA | N/A |
| 11 | Authorize Share Repurchase<br>Program   | Mgmt | For | TNA | N/A |
| 12 | Authorize Board to Decide on<br>the Distribution of<br>Extraordinary Dividends  | Mgmt | For | TNA | N/A |
| 13 | Approve Indemnification of<br>Members of the Board of<br>Directors and Executive<br>Management  | Mgmt | For | TNA | N/A |
| 14 | Amend Remuneration Policy   | Mgmt | For | TNA | N/A |
| 15 | Authorize Editorial Changes<br>to Adopted Resolutions in<br>Connection with Registration<br>with Danish Authorities   | Mgmt | For | TNA | N/A |
| 16 | Reelect Heine Dalsgaard as<br>Director  | Mgmt | For | TNA | N/A |

|               | 17              | Elect Sharon James as<br>Director                      | Mgmt            | For      | TNA               | N/A               |
|---------------|-----------------|--|-----------------|----------|-------------------|-------------------|
|               | 18              | Reelect Kasim Kutay as<br>Director                     | Mgmt            | For      | TNA               | N/A               |
|               | 19              | Reelect Morten Otto<br>Alexander Sommer as<br>Director | Mgmt            | For      | TNA               | N/A               |
| lovozymes A/S |                 |  |                 |          |                   |                   |
|               | Ticker          | Security ID:   | Meeting<br>Date |          | Meeting<br>Status |                   |
|               | NZYMB           | CINS K7317J133   | 03/30/2023      |          | Take No<br>Action |                   |
|               | Meeting<br>Type | Country of Trade                                       |                 |          |                   |                   |
|               | Special         | Denmark  |                 |          |                   |                   |
|               | Issue No.       | Description  | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
|               | 1               | Non-Voting Meeting Note                                | N/A             | N/A      | TNA               | N/A               |
|               | 2               | Non-Voting Meeting Note                                | N/A             | N/A      | TNA               | N/A               |
|               | 3               | Non-Voting Meeting Note                                | N/A             | N/A      | TNA               | N/A               |
|               | 4               | Merger/Acquisition                                     | Mgmt            | For      | TNA               | N/A               |
|               | 5               | Amendments to Articles<br>(Board Size)                 | Mgmt            | For      | TNA               | N/A               |
|               | 6               | Indemnification of Directors                           | Mgmt            | For      | TNA               | N/A               |
|               | 7               | Amendments to Articles (Indemnification)               | Mgmt            | For      | TNA               | N/A               |
|               | 8               | Amendments to the<br>Remuneration Policy               | Mgmt            | For      | TNA               | N/A               |
|               |                 | 5  |                 |          |                   |                   |
|               | 9               | Authorization of Legal                                 | Mgmt            | For      | TNA               | N/A               |

|    | Formalities             |     |     |     |     |
|----|-------------------------|-----|-----|-----|-----|
| 10 | Non-Voting Meeting Note | N/A | N/A | TNA | N/A |
| 11 | Non-Voting Meeting Note | N/A | N/A | TNA | N/A |
| 12 | Non-Voting Meeting Note | N/A | N/A | TNA | N/A |
| 13 | Non-Voting Meeting Note | N/A | N/A | TNA | N/A |
|    |                         |     |     |     |     |

# **NVIDIA** Corporation

| Ticker          | Security ID:               | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|----------------------------|-----------------|----------|-------------------|-------------------|
| NVDA            | CUSIP 67066G104            | 06/22/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade           |                 |          |                   |                   |
| Annual          | United States              |                 |          |                   |                   |
| Issue No.       | Description                | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Robert K. Burgess    | Mgmt            | For      | For               | For               |
| 2               | Elect Tench Coxe           | Mgmt            | For      | For               | For               |
| 3               | Elect John O. Dabiri       | Mgmt            | For      | For               | For               |
| 4               | Elect Persis S. Drell      | Mgmt            | For      | For               | For               |
| 5               | Elect Jen-Hsun Huang       | Mgmt            | For      | For               | For               |
| 6               | Elect Dawn Hudson          | Mgmt            | For      | For               | For               |
| 7               | Elect Harvey C. Jones      | Mgmt            | For      | For               | For               |
| 8               | Elect Michael G. McCaffery | Mgmt            | For      | For               | For               |
| 9               | Elect Stephen C. Neal      | Mgmt            | For      | For               | For               |
| 10              | Elect Mark L. Perry        | Mgmt            | For      | For               | For               |
| 11              | Elect A. Brooke Seawell    | Mgmt            | For      | For               | For               |
| 12              | Elect Aarti Shah           | Mgmt            | For      | For               | For               |

|                               | 13              | Elect Mark A. Stevens                                     | Mgmt            | For      | For               | For               |
|-------------------------------|-----------------|---|-----------------|----------|-------------------|-------------------|
|                               | 14              | Advisory Vote on Executive<br>Compensation                | Mgmt            | For      | For               | For               |
|                               | 15              | Frequency of Advisory Vote<br>on Executive Compensation   | Mgmt            | 1 Year   | 1 Year            | For               |
|                               | 16              | Ratification of Auditor                                   | Mgmt            | For      | For               | For               |
|                               |                 |   |                 |          |                   |                   |
| Otis Worldwide<br>Corporation |                 |   |                 |          |                   |                   |
|                               | Ticker          | Security ID:  | Meeting<br>Date |          | Meeting<br>Status |                   |
|                               | OTIS            | CUSIP 68902V107   | 05/18/2023      |          | Voted             |                   |
|                               | Meeting<br>Type | Country of Trade  |                 |          |                   |                   |
|                               | Annual          | United States   |                 |          |                   |                   |
|                               | Issue No.       | Description   | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
|                               | 1               | Elect Jeffrey H. Black                                    | Mgmt            | For      | For               | For               |
|                               | 2               | Elect Nelda J. Connors                                    | Mgmt            | For      | For               | For               |
|                               | 3               | Elect Kathy Hopinkah<br>Hannan                            | Mgmt            | For      | For               | For               |
|                               | 4               | Elect Shailesh G. Jejurikar                               | Mgmt            | For      | For               | For               |
|                               | 5               | Elect Christopher J. Kearney                              | Mgmt            | For      | For               | For               |
|                               | 6               | Elect Judith F. Marks                                     | Mgmt            | For      | For               | For               |
|                               | 7               | Elect Harold W. McGraw III                                | Mgmt            | For      | For               | For               |
|                               | •               | Float Margarat M V Draatan                                | Mgmt            | For      | For               | For               |
|                               | 8               | Elect Margaret M.V. Preston                               | Wight           |          |                   |                   |
|                               | 8<br>9          | Elect Margaret M.V. Presion<br>Elect Shelley Stewart, Jr. | Mgmt            | For      | For               | For               |
|                               |                 | -   | -               |          |                   |                   |
|                               | 9               | Elect Shelley Stewart, Jr.                                | Mgmt            | For      | For               | For               |

Compensation

| Ratification of Auditor                             | Mgmt     | For     | For     | For |
|---|----------|---------|---------|-----|
| Shareholder Proposal<br>Regarding Independent Chair | ShrHoldr | Against | Against | For |

### PayPal Holdings, Inc.

12 13

| Ticker          | Security ID:                               | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--|-----------------|----------|-------------------|-------------------|
| PYPL            | CUSIP 70450Y103                            | 05/24/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                           |                 |          |                   |                   |
| Annual          | United States                              |                 |          |                   |                   |
| Issue No.       | Description                                | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Rodney C. Adkins                     | Mgmt            | For      | For               | For               |
| 2               | Elect Jonathan Christodoro                 | Mgmt            | For      | For               | For               |
| 3               | Elect John J. Donahoe II                   | Mgmt            | For      | For               | For               |
| 4               | Elect David W. Dorman                      | Mgmt            | For      | For               | For               |
| 5               | Elect Belinda J. Johnson                   | Mgmt            | For      | For               | For               |
| 6               | Elect Enrique Lores                        | Mgmt            | For      | For               | For               |
| 7               | Elect Gail J. McGovern                     | Mgmt            | For      | For               | For               |
| 8               | Elect Deborah M. Messemer                  | Mgmt            | For      | For               | For               |
| 9               | Elect David M. Moffett                     | Mgmt            | For      | For               | For               |
| 10              | Elect Ann M. Sarnoff                       | Mgmt            | For      | For               | For               |
| 11              | Elect Daniel H. Schulman                   | Mgmt            | For      | For               | For               |
| 12              | Elect Frank D. Yeary                       | Mgmt            | For      | For               | For               |
| 13              | Advisory Vote on Executive<br>Compensation | Mgmt            | For      | For               | For               |

| 14 | Amendment to the 2015<br>Equity Incentive Plan   | Mgmt     | For     | For     | For     |
|----|--|----------|---------|---------|---------|
| 15 | Ratification of Auditor  | Mgmt     | For     | For     | For     |
| 16 | Shareholder Proposal<br>Regarding Policy Against<br>Discriminatory Exclusion in<br>Conflict Zones  | ShrHoldr | Against | Against | For     |
| 17 | Shareholder Proposal<br>Regarding Report on Risks<br>from Abortion-Related<br>Information Requests | ShrHoldr | Against | Against | For     |
| 18 | Shareholder Proposal<br>Regarding Transparency<br>Reports and Account<br>Suspensions               | ShrHoldr | Against | Against | For     |
| 19 | Shareholder Proposal<br>Regarding Report on Board<br>Oversight of Discrimination                   | ShrHoldr | Against | Against | For     |
| 20 | Shareholder Proposal<br>Regarding Majority Vote for<br>Election of Directors                       | ShrHoldr | Against | For     | Against |
|    |  |          |         |         |         |

# Prologis, Inc.

| Ticker          | Security ID:     | Meeting<br>Date    | Meeting<br>Status |                   |
|-----------------|------------------|--------------------|-------------------|-------------------|
| PLD             | CUSIP 74340W103  | 05/04/2023         | Voted             |                   |
| Meeting<br>Type | Country of Trade |                    |                   |                   |
| Annual          | United States    |                    |                   |                   |
| Issue No.       | Description      | Proponent Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |

| 1  | Elect Hamid R. Moghadam                                 | Mgmt | For    | For     | For     |
|----|---|------|--------|---------|---------|
| 2  | Elect Cristina G. Bita                                  | Mgmt | For    | For     | For     |
| 3  | Elect James B. Connor                                   | Mgmt | For    | For     | For     |
| 4  | Elect George L. Fotiades                                | Mgmt | For    | For     | For     |
| 5  | Elect Lydia H. Kennard                                  | Mgmt | For    | For     | For     |
| 6  | Elect Irving F. Lyons, III                              | Mgmt | For    | For     | For     |
| 7  | Elect Avid Modjtabai                                    | Mgmt | For    | For     | For     |
| 8  | Elect David P. O'Connor                                 | Mgmt | For    | For     | For     |
| 9  | Elect Olivier Piani                                     | Mgmt | For    | For     | For     |
| 10 | Elect Jeffrey L. Skelton                                | Mgmt | For    | For     | For     |
| 11 | Elect Carl B. Webb                                      | Mgmt | For    | For     | For     |
| 12 | Advisory Vote on Executive<br>Compensation              | Mgmt | For    | Against | Against |
| 13 | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt | 1 Year | 1 Year  | For     |
| 14 | Ratification of Auditor                                 | Mgmt | For    | For     | For     |
|    |   |      |        |         |         |

#### Regeneron Pharmaceuticals, Inc.

| Ticker          | Security ID:              | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---------------------------|-----------------|----------|-------------------|-------------------|
| REGN            | CUSIP 75886F107           | 06/09/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade          |                 |          |                   |                   |
| Annual          | United States             |                 |          |                   |                   |
| Issue No.       | Description               | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Joseph L. Goldstein | Mgmt            | For      | For               | For               |

| 2 | Elect Christine A. Poon   | Mgmt     | For     | For     | For |
|---|---|----------|---------|---------|-----|
| 3 | Elect Craig B. Thompson   | Mgmt     | For     | For     | For |
| 4 | Elect Huda Y. Zoghbi  | Mgmt     | For     | For     | For |
| 5 | Ratification of Auditor   | Mgmt     | For     | For     | For |
| 6 | Advisory Vote on Executive<br>Compensation  | Mgmt     | For     | For     | For |
| 7 | Frequency of Advisory Vote<br>on Executive Compensation   | Mgmt     | 1 Year  | 1 Year  | For |
| 8 | Shareholder Proposal<br>Regarding Extended Patent<br>Exclusivities and Application<br>for Secondary and Tertiary<br>Patents | ShrHoldr | Against | Against | For |

# Roper Technologies, Inc.

| Ticker          | Security ID:                | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|-----------------------------|-----------------|----------|-------------------|-------------------|
| ROP             | CUSIP 776696106             | 06/13/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade            |                 |          |                   |                   |
| Annual          | United States               |                 |          |                   |                   |
| Issue No.       | Description                 | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Shellye L. Archambeau | Mgmt            | For      | For               | For               |
| 2               | Elect Amy W. Brinkley       | Mgmt            | For      | For               | For               |
| 3               | Elect Irene M. Esteves      | Mgmt            | For      | For               | For               |
| 4               | Elect L. Neil Hunn          | Mgmt            | For      | For               | For               |
| 5               | Elect Robert D. Johnson     | Mgmt            | For      | For               | For               |
| 6               | Elect Thomas P. Joyce, Jr.  | Mgmt            | For      | For               | For               |

| 7  | Elect Laura G. Thatcher                                   | Mgmt | For    | For    | For |
|----|---|------|--------|--------|-----|
| 8  | Elect Richard F. Wallman                                  | Mgmt | For    | For    | For |
| 9  | Elect Christopher Wright                                  | Mgmt | For    | For    | For |
| 10 | Advisory Vote on Executive<br>Compensation                | Mgmt | For    | For    | For |
| 11 | Frequency of Advisory Vote<br>on Executive Compensation   | Mgmt | 1 Year | 1 Year | For |
| 12 | Ratification of Auditor                                   | Mgmt | For    | For    | For |
| 13 | Amendment to Articles<br>Regarding Officer<br>Exculpation | Mgmt | For    | For    | For |

# Salesforce, Inc.

| Ticker          | Security ID:           | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|------------------------|-----------------|----------|-------------------|-------------------|
| CRM             | CUSIP 79466L302        | 06/08/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade       |                 |          |                   |                   |
| Annual          | United States          |                 |          |                   |                   |
| Issue No.       | Description            | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Marc R. Benioff  | Mgmt            | For      | For               | For               |
| 2               | Elect Laura Alber      | Mgmt            | For      | For               | For               |
| 3               | Elect Craig A. Conway  | Mgmt            | For      | For               | For               |
| 4               | Elect Arnold W. Donald | Mgmt            | For      | For               | For               |
| 5               | Elect Parker Harris    | Mgmt            | For      | For               | For               |
| 6               | Elect Neelie Kroes     | Mgmt            | For      | For               | For               |
| 7               | Elect Sachin Mehra     | Mgmt            | For      | For               | For               |
| 8               | Elect G. Mason Morfit  | Mgmt            | For      | For               | For               |

| 9  | Elect Oscar Munoz  | Mgmt     | For     | Against | Against |
|----|--|----------|---------|---------|---------|
| 10 | Elect John V. Roos   | Mgmt     | For     | For     | For     |
| 11 | Elect Robin L. Washington  | Mgmt     | For     | For     | For     |
| 12 | Elect Maynard G. Webb  | Mgmt     | For     | For     | For     |
| 13 | Elect Susan D. Wojcicki  | Mgmt     | For     | For     | For     |
| 14 | Amendment to the 2013<br>Equity Incentive Plan                                       | Mgmt     | For     | Against | Against |
| 15 | Ratification of Auditor  | Mgmt     | For     | For     | For     |
| 16 | Advisory Vote on Executive<br>Compensation   | Mgmt     | For     | Against | Against |
| 17 | Frequency of Advisory Vote<br>on Executive Compensation                              | Mgmt     | 1 Year  | 1 Year  | For     |
| 18 | Shareholder Proposal<br>Regarding Independent Chair                                  | ShrHoldr | Against | Against | For     |
| 19 | Shareholder Proposal<br>Regarding Company<br>Directors Serving on Multiple<br>Boards | ShrHoldr | Against | Against | For     |

# Sanofi

| Ticker          | Security ID:            | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|-------------------------|-----------------|----------|-------------------|-------------------|
| SAN             | CINS F5548N101          | 05/25/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade        |                 |          |                   |                   |
| Mix             | France                  |                 |          |                   |                   |
| Issue No.       | Description             | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Non-Voting Meeting Note | N/A             | N/A      | N/A               | N/A               |

| 2  | Non-Voting Meeting Note                              | N/A  | N/A | N/A | N/A |
|----|--|------|-----|-----|-----|
| 3  | • •  | N/A  | N/A | N/A | N/A |
|    | Non-Voting Meeting Note                              |      |     |     |     |
| 4  | Non-Voting Meeting Note                              | N/A  | N/A | N/A | N/A |
| 5  | Accounts and Reports; Non<br>Tax-Deductible Expenses | Mgmt | For | For | For |
| 6  | Consolidated Accounts and<br>Reports                 | Mgmt | For | For | For |
| 7  | Allocation of<br>Profits/Dividends                   | Mgmt | For | For | For |
| 8  | Elect Frederic Oudea                                 | Mgmt | For | For | For |
| 9  | 2022 Remuneration Report                             | Mgmt | For | For | For |
| 10 | 2022 Remuneration of Serge<br>Weinberg, Chair        | Mgmt | For | For | For |
| 11 | 2022 Remuneration of Paul<br>Hudson, CEO             | Mgmt | For | For | For |
| 12 | 2023 Directors' Fees                                 | Mgmt | For | For | For |
| 13 | 2023 Remuneration Policy (Board of Directors)        | Mgmt | For | For | For |
| 14 | 2023 Remuneration Policy (Chair)                     | Mgmt | For | For | For |
| 15 | 2023 Remuneration Policy (CEO)                       | Mgmt | For | For | For |
| 16 | Appointment of Auditor (PwC)                         | Mgmt | For | For | For |
| 17 | Relocation of Corporate<br>Headquarters              | Mgmt | For | For | For |
| 18 | Authority to Repurchase and Reissue Shares           | Mgmt | For | For | For |
| 19 | Authority to Cancel Shares<br>and Reduce Capital     | Mgmt | For | For | For |
| 20 | Authority to Issue Shares and<br>Convertible Debt w/ | Mgmt | For | For | For |
|    |  |      |     |     |     |

|    | Preemptive Rights   |      |     |     |     |
|----|---|------|-----|-----|-----|
| 21 | Authority to Issue Shares and<br>Convertible Debt w/o<br>Preemptive Rights        | Mgmt | For | For | For |
| 22 | Authority to Issue Shares<br>and/or Convertible Debt<br>Through Private Placement | Mgmt | For | For | For |
| 23 | Authority to Issue Debt<br>Instruments  | Mgmt | For | For | For |
| 24 | Greenshoe   | Mgmt | For | For | For |
| 25 | Authority to Increase Capital<br>in Consideration for<br>Contributions In Kind    | Mgmt | For | For | For |
| 26 | Authority to Increase Capital<br>Through Capitalisations                          | Mgmt | For | For | For |
| 27 | Employee Stock Purchase<br>Plan   | Mgmt | For | For | For |
| 28 | Authorisation of Legal<br>Formalities   | Mgmt | For | For | For |
| 29 | Non-Voting Meeting Note   | N/A  | N/A | N/A | N/A |
| 30 | Non-Voting Meeting Note   | N/A  | N/A | N/A | N/A |
| 31 | Non-Voting Meeting Note   | N/A  | N/A | N/A | N/A |
| 32 | Non-Voting Meeting Note   | N/A  | N/A | N/A | N/A |

#### Starbucks Corporation

| Ticker                 | Security ID:                          | Meeting<br>Date | Meeting<br>Status |
|------------------------|---------------------------------------|-----------------|-------------------|
| SBUX<br><b>Meeting</b> | ISIN US8552441094<br>Country of Trade | 03/23/2023      | Voted             |

| Туре      |  |           |          |           |                   |
|-----------|--|-----------|----------|-----------|-------------------|
| Annual    | United States  |           |          |           |                   |
| Issue No. | Description  | Proponent | Mgmt Rec | Vote Cast | For/Agnst<br>Mgmt |
| 1         | Elect Richard E. Allison, Jr.  | Mgmt      | For      | For       | For               |
| 2         | Elect Andrew Campion   | Mgmt      | For      | For       | For               |
| 3         | Elect Beth E. Ford   | Mgmt      | For      | For       | For               |
| 4         | Elect Mellody Hobson   | Mgmt      | For      | For       | For               |
| 5         | Elect JorgenÊVig Knudstorp   | Mgmt      | For      | For       | For               |
| 6         | Elect Satya Nadella  | Mgmt      | For      | For       | For               |
| 7         | Elect Laxman Narasimhan  | Mgmt      | For      | For       | For               |
| 8         | Elect Howard Schultz   | Mgmt      | For      | For       | For               |
| 9         | Advisory Vote on Executive<br>Compensation   | Mgmt      | For      | For       | For               |
| 10        | Frequency of Advisory Vote<br>on Executive Compensation                                  | Mgmt      | 1 Year   | 1 Year    | For               |
| 11        | Ratification of Auditor  | Mgmt      | For      | For       | For               |
| 12        | Shareholder Proposal<br>Regarding Report On Plant-<br>based Milk Pricing                 | ShrHoldr  | Against  | Against   | For               |
| 13        | Shareholder Proposal<br>Regarding CEO Succession<br>Planning                             | ShrHoldr  | Against  | Against   | For               |
| 14        | Shareholder Proposal<br>Regarding Report on<br>Corporate Operations with<br>China        | ShrHoldr  | Against  | Against   | For               |
| 15        | Shareholder Proposal<br>Regarding Third-Party<br>Assessment of Freedom of<br>Association | ShrHoldr  | Against  | For       | Against           |

16

Shareholder Proposal Regarding Formation of Corporate Sustainability Committee

ShrHoldr Against Against

For

# The AES Corporation

| Ticker          | Security ID:  | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---|-----------------|----------|-------------------|-------------------|
| AES             | CUSIP 00130H105   | 04/20/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade  |                 |          |                   |                   |
| Annual          | United States   |                 |          |                   |                   |
| Issue No.       | Description   | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Janet G. Davidson                                 | Mgmt            | For      | For               | For               |
| 2               | Elect Andres R. Gluski                                  | Mgmt            | For      | For               | For               |
| 3               | Elect Tarun Khanna                                      | Mgmt            | For      | For               | For               |
| 4               | Elect Holly K. Koeppel                                  | Mgmt            | For      | For               | For               |
| 5               | Elect Julia M. Laulis                                   | Mgmt            | For      | For               | For               |
| 6               | Elect Alain Monie                                       | Mgmt            | For      | For               | For               |
| 7               | Elect John B. Morse, Jr.                                | Mgmt            | For      | For               | For               |
| 8               | Elect Moises Naim                                       | Mgmt            | For      | For               | For               |
| 9               | Elect Teresa M. Sebastian                               | Mgmt            | For      | For               | For               |
| 10              | Elect Maura Shaughnessy                                 | Mgmt            | For      | For               | For               |
| 11              | Advisory Vote on Executive<br>Compensation              | Mgmt            | For      | For               | For               |
| 12              | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt            | 1 Year   | 1 Year            | For               |

13 Ratification of Auditor

14

MgmtForForForShrHoldrAgainstAgainstFor

Shareholder Proposal Regarding Severance Approval Policy

#### The Charles Schwab Corporation

| Ticker          | Security ID:  | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---|-----------------|----------|-------------------|-------------------|
| SCHW            | CUSIP 808513105   | 05/18/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade  |                 |          |                   |                   |
| Annual          | United States   |                 |          |                   |                   |
| Issue No.       | Description   | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Marianne C. Brown   | Mgmt            | For      | Against           | Against           |
| 2               | Elect Frank C. Herringer  | Mgmt            | For      | Against           | Against           |
| 3               | Elect Gerri Martin-Flickinger   | Mgmt            | For      | Against           | Against           |
| 4               | Elect Todd M. Ricketts  | Mgmt            | For      | Against           | Against           |
| 5               | Elect Carolyn Schwab-<br>Pomerantz  | Mgmt            | For      | Against           | Against           |
| 6               | Ratification of Auditor   | Mgmt            | For      | For               | For               |
| 7               | Advisory Vote on Executive<br>Compensation                                      | Mgmt            | For      | For               | For               |
| 8               | Frequency of Advisory Vote<br>on Executive Compensation                         | Mgmt            | 1 Year   | 1 Year            | For               |
| 9               | Shareholder Proposal<br>Regarding Median Gender<br>and Racial Pay Equity Report | ShrHoldr        | Against  | Against           | For               |

10

Shareholder Proposal Regarding Report on Board Oversight of Discrimination

ShrHoldr Against Against

For

## The Cooper Companies, Inc.

| Ticker          | Security ID:                           | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--|-----------------|----------|-------------------|-------------------|
| COO             | CUSIP 216648402                        | 03/15/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                       |                 |          |                   |                   |
| Annual          | United States                          |                 |          |                   |                   |
| Issue No.       | Description                            | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1.1             | Elect Director Colleen E. Jay          | Mgmt            | For      | For               | For               |
| 1.2             | Elect Director William A. Kozy         | Mgmt            | For      | For               | For               |
| 1.3             | Elect Director Cynthia L.<br>Lucchese  | Mgmt            | For      | For               | For               |
| 1.4             | Elect Director Teresa S.<br>Madden     | Mgmt            | For      | For               | For               |
| 1.5             | Elect Director Gary S.<br>Petersmeyer  | Mgmt            | For      | For               | For               |
| 1.6             | Elect Director Maria Rivas             | Mgmt            | For      | For               | For               |
| 1.7             | Elect Director Robert S.<br>Weiss      | Mgmt            | For      | For               | For               |
| 1.8             | Elect Director Albert G.<br>White, III | Mgmt            | For      | For               | For               |
| 2               | Ratify KPMG LLP as Auditors            | Mgmt            | For      | For               | For               |
| 3               | Approve Omnibus Stock Plan             | Mgmt            | For      | For               | For               |
| 4               | Advisory Vote to Ratify                | Mgmt            | For      | For               | For               |

| Named Executive Officers'<br>Compensation |      |        |        |     |
|---|------|--------|--------|-----|
| Advisory Vote on Say on Pay<br>Frequency  | Mgmt | 1 Year | 1 Year | For |

## The Home Depot, Inc.

5

| Ticker          | Security ID:                | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|-----------------------------|-----------------|----------|-------------------|-------------------|
| HD              | CUSIP 437076102             | 05/18/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade            |                 |          |                   |                   |
| Annual          | United States               |                 |          |                   |                   |
| Issue No.       | Description                 | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Gerard J. Arpey       | Mgmt            | For      | For               | For               |
| 2               | Elect Ari Bousbib           | Mgmt            | For      | For               | For               |
| 3               | Elect Jeffery H. Boyd       | Mgmt            | For      | For               | For               |
| 4               | Elect Gregory D. Brenneman  | Mgmt            | For      | For               | For               |
| 5               | Elect J. Frank Brown        | Mgmt            | For      | For               | For               |
| 6               | Elect Albert P. Carey       | Mgmt            | For      | For               | For               |
| 7               | Elect Edward P. Decker      | Mgmt            | For      | For               | For               |
| 8               | Elect Linda R. Gooden       | Mgmt            | For      | For               | For               |
| 9               | Elect Wayne M. Hewett       | Mgmt            | For      | For               | For               |
| 10              | Elect Manuel Kadre          | Mgmt            | For      | For               | For               |
| 11              | Elect Stephanie C. Linnartz | Mgmt            | For      | For               | For               |
| 12              | Elect Paula Santilli        | Mgmt            | For      | For               | For               |
| 13              | Elect Caryn Seidman-Becker  | Mgmt            | For      | For               | For               |

| 14 | Ratification of Auditor  | Mgmt     | For     | For     | For     |
|----|--|----------|---------|---------|---------|
| 15 | Advisory Vote on Executive<br>Compensation   | Mgmt     | For     | For     | For     |
| 16 | Frequency of Advisory Vote<br>on Executive Compensation  | Mgmt     | 1 Year  | 1 Year  | For     |
| 17 | Shareholder Proposal<br>Regarding Reducing<br>Ownership Threshold<br>Required to Act by Written<br>Consent | ShrHoldr | Against | For     | Against |
| 18 | Shareholder Proposal<br>Regarding Independent Chair  | ShrHoldr | Against | For     | Against |
| 19 | Shareholder Proposal<br>Regarding Report on Political<br>Expenditures and Values<br>Congruency             | ShrHoldr | Against | Against | For     |
| 20 | Shareholder Proposal<br>Regarding Rescission of<br>Majority-Supported<br>Shareholder Proposal              | ShrHoldr | Against | Against | For     |
| 21 | Shareholder Proposal<br>Regarding Public Positions<br>on Political Speech                                  | ShrHoldr | Against | Against | For     |
|    |  |          |         |         |         |

## The Walt Disney Company

| Ticker          | Security ID:      | Meeting<br>Date | Meeting<br>Status |
|-----------------|-------------------|-----------------|-------------------|
| DIS             | ISIN US2546871060 | 04/03/2023      | Voted             |
| Meeting<br>Type | Country of Trade  |                 |                   |
| Annual          | United States     |                 |                   |

| Issue No. | Description  | Proponent | Mgmt Rec | Vote Cast | For/Agnst<br>Mgmt |
|-----------|--|-----------|----------|-----------|-------------------|
| 1         | Elect Mary T. Barra  | Mgmt      | For      | For       | For               |
| 2         | Elect Safra A. Catz  | Mgmt      | For      | For       | For               |
| 3         | Elect Amy L. Chang   | Mgmt      | For      | For       | For               |
| 4         | Elect Francis A. deSouza   | Mgmt      | For      | For       | For               |
| 5         | Elect Carolyn N. Everson   | Mgmt      | For      | For       | For               |
| 6         | Elect Michael B.G. Froman  | Mgmt      | For      | For       | For               |
| 7         | Elect Robert A. Iger   | Mgmt      | For      | For       | For               |
| 8         | Elect Maria Elena<br>Lagomasino  | Mgmt      | For      | For       | For               |
| 9         | Elect Calvin R. McDonald   | Mgmt      | For      | For       | For               |
| 10        | Elect Mark G. Parker   | Mgmt      | For      | For       | For               |
| 11        | Elect Derica W. Rice   | Mgmt      | For      | For       | For               |
| 12        | Ratification of Auditor  | Mgmt      | For      | For       | For               |
| 13        | Advisory Vote on Executive<br>Compensation   | Mgmt      | For      | For       | For               |
| 14        | Frequency of Advisory Vote<br>on Executive Compensation  | Mgmt      | 1 Year   | 1 Year    | For               |
| 15        | Shareholder Proposal<br>Regarding Report on<br>Corporate Operations with<br>China              | ShrHoldr  | Against  | Against   | For               |
| 16        | Shareholder Proposal<br>Regarding Charitable<br>Contributions Disclosure                       | ShrHoldr  | Against  | Against   | For               |
| 17        | Shareholder Proposal<br>Regarding Report on Political<br>Expenditures and Values<br>Congruency | ShrHoldr  | Against  | Against   | For               |

#### Thermo Fisher Scientific Inc.

| Ticker          | Security ID:  | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---|-----------------|----------|-------------------|-------------------|
| ТМО             | CUSIP 883556102   | 05/24/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade  |                 |          |                   |                   |
| Annual          | United States   |                 |          |                   |                   |
| Issue No.       | Description   | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Marc N. Casper                                    | Mgmt            | For      | For               | For               |
| 2               | Elect Nelson J. Chai                                    | Mgmt            | For      | For               | For               |
| 3               | Elect Ruby R. Chandy                                    | Mgmt            | For      | For               | For               |
| 4               | Elect C. Martin Harris                                  | Mgmt            | For      | For               | For               |
| 5               | Elect Tyler Jacks                                       | Mgmt            | For      | For               | For               |
| 6               | Elect R. Alexandra Keith                                | Mgmt            | For      | For               | For               |
| 7               | Elect James C. Mullen                                   | Mgmt            | For      | For               | For               |
| 8               | Elect Lars R. Sorensen                                  | Mgmt            | For      | For               | For               |
| 9               | Elect Debora L. Spar                                    | Mgmt            | For      | For               | For               |
| 10              | Elect Scott M. Sperling                                 | Mgmt            | For      | For               | For               |
| 11              | Elect Dion J. Weisler                                   | Mgmt            | For      | For               | For               |
| 12              | Advisory Vote on Executive<br>Compensation              | Mgmt            | For      | For               | For               |
| 13              | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt            | 1 Year   | 1 Year            | For               |
| 14              | Ratification of Auditor                                 | Mgmt            | For      | For               | For               |
| 15              | Amendment to the 2013<br>Stock Incentive Plan           | Mgmt            | For      | For               | For               |
| 16              | Approval of the 2023<br>Employee Stock Purchase         | Mgmt            | For      | For               | For               |

Plan

# T-Mobile US, Inc.

| Ticker          | Security ID:                               | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--|-----------------|----------|-------------------|-------------------|
| TMUS            | CUSIP 872590104                            | 06/16/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                           |                 |          |                   |                   |
| Annual          | United States                              |                 |          |                   |                   |
| Issue No.       | Description                                | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1.1             | Elect Andre Almeida                        | Mgmt            | For      | Withhold          | Against           |
| 1.2             | Elect Marcelo Claure                       | Mgmt            | For      | Withhold          | Against           |
| 1.3             | Elect Srikant M. Datar                     | Mgmt            | For      | Withhold          | Against           |
| 1.4             | Elect Srinivasan Gopalan                   | Mgmt            | For      | Withhold          | Against           |
| 1.5             | Elect Timotheus Hottges                    | Mgmt            | For      | Withhold          | Against           |
| 1.6             | Elect Christian P. Illek                   | Mgmt            | For      | Withhold          | Against           |
| 1.7             | Elect Raphael Kubler                       | Mgmt            | For      | Withhold          | Against           |
| 1.8             | Elect Thorsten Langheim                    | Mgmt            | For      | Withhold          | Against           |
| 1.9             | Elect Dominique Leroy                      | Mgmt            | For      | Withhold          | Against           |
| 1.10            | Elect Letitia A. Long                      | Mgmt            | For      | Withhold          | Against           |
| 1.11            | Elect G. Michael Sievert                   | Mgmt            | For      | Withhold          | Against           |
| 1.12            | Elect Teresa A. Taylor                     | Mgmt            | For      | Withhold          | Against           |
| 1.13            | Elect Kelvin R. Westbrook                  | Mgmt            | For      | Withhold          | Against           |
| 2               | Ratification of Auditor                    | Mgmt            | For      | For               | For               |
| 3               | Advisory Vote on Executive<br>Compensation | Mgmt            | For      | For               | For               |
| 4               | Frequency of Advisory Vote                 | Mgmt            | 3 Years  | 1 Year            | Against           |

|   | on Executive Compensation                                |      |     |     |     |
|---|--|------|-----|-----|-----|
| 5 | Approval of the 2023<br>Incentive Award Plan             | Mgmt | For | For | For |
| 6 | Amendment to the 2014<br>Employee Stock Purchase<br>Plan | Mgmt | For | For | For |

# TPG Inc.

| Ticker          | Security ID:                    | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---------------------------------|-----------------|----------|-------------------|-------------------|
| TPG             | CUSIP 872657101                 | 06/08/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                |                 |          |                   |                   |
| Annual          | United States                   |                 |          |                   |                   |
| Issue No.       | Description                     | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect David Bonderman           | Mgmt            | For      | Withhold          | Against           |
| 2               | Elect Gunther Bright            | Mgmt            | For      | Withhold          | Against           |
| 3               | Elect Maya Chorengel            | Mgmt            | For      | Withhold          | Against           |
| 4               | Elect Jonathan Coslet           | Mgmt            | For      | Withhold          | Against           |
| 5               | Elect James Coulter             | Mgmt            | For      | Withhold          | Against           |
| 6               | Elect Mary B. Cranston          | Mgmt            | For      | Withhold          | Against           |
| 7               | Elect Kelvin Davis              | Mgmt            | For      | Withhold          | Against           |
| 8               | Elect Deborah M. Messemer       | Mgmt            | For      | Withhold          | Against           |
| 9               | Elect Ganendran<br>Sarvananthan | Mgmt            | For      | Withhold          | Against           |
| 10              | Elect Todd B. Sisitsky          | Mgmt            | For      | Withhold          | Against           |
| 11              | Elect David Trujillo            | Mgmt            | For      | Withhold          | Against           |
| 12              | Elect Anilu Vasquez-Ubarri      | Mgmt            | For      | Withhold          | Against           |

| 13 | Elect Jack Weingart                                     | Mgmt | For     | Withhold | Against |
|----|---|------|---------|----------|---------|
| 14 | Elect Jon Winkelried                                    | Mgmt | For     | Withhold | Against |
| 15 | Elect James Coulter                                     | Mgmt | For     | Withhold | Against |
| 16 | Elect Kelvin Davis                                      | Mgmt | For     | Withhold | Against |
| 17 | Elect Ganendran<br>Sarvananthan                         | Mgmt | For     | Withhold | Against |
| 18 | Elect Todd B. Sisitsky                                  | Mgmt | For     | Withhold | Against |
| 19 | Elect David Trujillo                                    | Mgmt | For     | Withhold | Against |
| 20 | Elect Anilu Vasquez-Ubarri                              | Mgmt | For     | Withhold | Against |
| 21 | Elect Jack Weingart                                     | Mgmt | For     | Withhold | Against |
| 22 | Elect Jon Winkelried                                    | Mgmt | For     | Withhold | Against |
| 23 | Advisory Vote on Executive<br>Compensation              | Mgmt | For     | Against  | Against |
| 24 | Frequency of Advisory Vote<br>on Executive Compensation | Mgmt | 3 Years | 1 Year   | Against |
| 25 | Ratification of Auditor                                 | Mgmt | For     | For      | For     |
| 26 | Amendment to the Certificate of Incorporation           | Mgmt | For     | For      | For     |

# Unilever plc

| Ticker          | Security ID:         | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|----------------------|-----------------|----------|-------------------|-------------------|
| ULVR            | CINS G92087165       | 05/03/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade     |                 |          |                   |                   |
| Annual          | United Kingdom       |                 |          |                   |                   |
| Issue No.       | Description          | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Accounts and Reports | Mgmt            | For      | For               | For               |

| 2  | Remuneration Report  | Mgmt | For | Against | Against |
|----|--|------|-----|---------|---------|
| 3  | Elect Nils Andersen  | Mgmt | For | For     | For     |
| 4  | Elect Judith Hartmann  | Mgmt | For | For     | For     |
| 5  | Elect Adrian Hennah  | Mgmt | For | For     | For     |
| 6  | Elect Alan Jope  | Mgmt | For | For     | For     |
| 7  | Elect Andrea Jung  | Mgmt | For | For     | For     |
| 8  | Elect Susan Kilsby   | Mgmt | For | For     | For     |
| 9  | Elect Ruby Lu  | Mgmt | For | For     | For     |
| 10 | Elect Strive T. Masiyiwa   | Mgmt | For | For     | For     |
| 11 | Elect Youngme E. Moon  | Mgmt | For | For     | For     |
| 12 | Elect Graeme D. Pitkethly  | Mgmt | For | For     | For     |
| 13 | Elect Feike Sijbesma   | Mgmt | For | For     | For     |
| 14 | Elect Nelson Peltz   | Mgmt | For | For     | For     |
| 15 | Elect Hein Schumacher  | Mgmt | For | For     | For     |
| 16 | Appointment of Auditor   | Mgmt | For | For     | For     |
| 17 | Authority to Set Auditor's<br>Fees   | Mgmt | For | For     | For     |
| 18 | Authorisation of Political<br>Donations  | Mgmt | For | For     | For     |
| 19 | Authority to Issue Shares w/<br>Preemptive Rights                                    | Mgmt | For | For     | For     |
| 20 | Authority to Issue Shares w/o<br>Preemptive Rights                                   | Mgmt | For | For     | For     |
| 21 | Authority to Issue Shares w/o<br>Preemptive Rights (Specified<br>Capital Investment) | Mgmt | For | For     | For     |
| 22 | Authority to Repurchase<br>Shares  | Mgmt | For | For     | For     |
| 23 | Authority to Set General<br>Meeting Notice Period at 14<br>Days                      | Mgmt | For | Against | Against |

## UnitedHealth Group Incorporated

| Ticker          | Security ID:   | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|--|-----------------|----------|-------------------|-------------------|
| UNH             | CUSIP 91324P102  | 06/05/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade   |                 |          |                   |                   |
| Annual          | United States  |                 |          |                   |                   |
| Issue No.       | Description  | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Timothy P. Flynn                                   | Mgmt            | For      | For               | For               |
| 2               | Elect Paul R. Garcia                                     | Mgmt            | For      | For               | For               |
| 3               | Elect Kristen Gil  | Mgmt            | For      | For               | For               |
| 4               | Elect Stephen J. Hemsley                                 | Mgmt            | For      | For               | For               |
| 5               | Elect Michele J. Hooper                                  | Mgmt            | For      | For               | For               |
| 6               | Elect F. William McNabb, III                             | Mgmt            | For      | For               | For               |
| 7               | Elect Valerie C. Montgomery<br>Rice                      | Mgmt            | For      | For               | For               |
| 8               | Elect John H. Noseworthy                                 | Mgmt            | For      | For               | For               |
| 9               | Elect Andrew Witty                                       | Mgmt            | For      | For               | For               |
| 10              | Advisory Vote on Executive<br>Compensation               | Mgmt            | For      | For               | For               |
| 11              | Frequency of Advisory Vote<br>on Executive Compensation  | Mgmt            | 1 Year   | 1 Year            | For               |
| 12              | Ratification of Auditor                                  | Mgmt            | For      | For               | For               |
| 13              | Shareholder Proposal<br>Regarding Racial Equity<br>Audit | ShrHoldr        | Against  | Against           | For               |

| 14 | Shareholder Proposal<br>Regarding Report on Political<br>Expenditures and Values<br>Congruency | ShrHoldr | Against | Against | For     |
|----|--|----------|---------|---------|---------|
| 15 | Shareholder Proposal<br>Regarding Severance<br>Approval Policy                                 | ShrHoldr | Against | For     | Against |

# Visa Inc.

| Ticker          | Security ID:  | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|---|-----------------|----------|-------------------|-------------------|
| V               | CUSIP 92826C839                                       | 01/24/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade                                      |                 |          |                   |                   |
| Annual          | United States   |                 |          |                   |                   |
| Issue No.       | Description   | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Director Lloyd A.<br>Carney                     | Mgmt            | For      | Against           | Against           |
| 2               | Elect Director Kermit R.<br>Crawford                  | Mgmt            | For      | For               | For               |
| 3               | Elect Director Francisco<br>Javier Fernandez-Carbajal | Mgmt            | For      | For               | For               |
| 4               | Elect Director Alfred F. Kelly, Jr.                   | Mgmt            | For      | For               | For               |
| 5               | Elect Director Ramon<br>Laguarta                      | Mgmt            | For      | For               | For               |
| 6               | Elect Director Teri L. List                           | Mgmt            | For      | For               | For               |
| 7               | Elect Director John F.<br>Lundgren                    | Mgmt            | For      | For               | For               |
| 8               | Elect Director Denise M.                              | Mgmt            | For      | For               | For               |

|    | Morrison   |          |         |         |     |
|----|--|----------|---------|---------|-----|
| 9  | Elect Director Linda J.<br>Rendle                                    | Mgmt     | For     | For     | For |
| 10 | Elect Director Maynard G.<br>Webb, Jr.                               | Mgmt     | For     | For     | For |
| 11 | Advisory Vote to Ratify<br>Named Executive Officers'<br>Compensation | Mgmt     | For     | For     | For |
| 12 | Advisory Vote on Say on Pay<br>Frequency                             | Mgmt     | 1 Year  | 1 Year  | For |
| 13 | Ratify KPMG LLP as Auditors  | Mgmt     | For     | For     | For |
| 14 | Require Independent Board<br>Chair                                   | ShrHoldr | Against | Against | For |

#### Walmart Inc.

| Ticker          | Security ID:           | Meeting<br>Date |          | Meeting<br>Status |                   |
|-----------------|------------------------|-----------------|----------|-------------------|-------------------|
| WMT             | CUSIP 931142103        | 05/31/2023      |          | Voted             |                   |
| Meeting<br>Type | Country of Trade       |                 |          |                   |                   |
| Annual          | United States          |                 |          |                   |                   |
| Issue No.       | Description            | Proponent       | Mgmt Rec | Vote Cast         | For/Agnst<br>Mgmt |
| 1               | Elect Cesar Conde      | Mgmt            | For      | For               | For               |
| 2               | Elect Timothy P. Flynn | Mgmt            | For      | For               | For               |
| 3               | Elect Sarah J. Friar   | Mgmt            | For      | For               | For               |
| 4               | Elect Carla A. Harris  | Mgmt            | For      | For               | For               |
| 5               | Elect Thomas W. Horton | Mgmt            | For      | For               | For               |
| 6               | Elect Marissa A. Mayer | Mgmt            | For      | For               | For               |

| 7  | Elect C. Douglas McMillon  | Mgmt     | For     | For     | For |
|----|--|----------|---------|---------|-----|
| 8  | Elect Gregory B. Penner  | Mgmt     | For     | For     | For |
| 9  | Elect Randall L. Stephenson  | Mgmt     | For     | For     | For |
| 10 | Elect S. Robson Walton   | Mgmt     | For     | For     | For |
| 11 | Elect Steuart L. Walton  | Mgmt     | For     | For     | For |
| 12 | Frequency of Advisory Vote<br>on Executive Compensation  | Mgmt     | 1 Year  | 1 Year  | For |
| 13 | Advisory Vote on Executive<br>Compensation   | Mgmt     | For     | For     | For |
| 14 | Ratification of Auditor  | Mgmt     | For     | For     | For |
| 15 | Shareholder Proposal<br>Regarding Employee Salary<br>Considerations When Setting<br>Executive Compensation | ShrHoldr | Against | Against | For |
| 16 | Shareholder Proposal<br>Regarding Human Rights<br>Due Diligence Process<br>Report                          | ShrHoldr | Against | Against | For |
| 17 | Shareholder Proposal<br>Regarding Racial Equity<br>Audit   | ShrHoldr | Against | Against | For |
| 18 | Shareholder Proposal<br>Regarding Racial and<br>Gender Layoff Diversity<br>Report                          | ShrHoldr | Against | Against | For |
| 19 | Shareholder Proposal<br>Regarding Shareholder<br>Approval of Advance Notice<br>Provisions                  | ShrHoldr | Against | Against | For |
| 20 | Shareholder Proposal<br>Regarding Report on Risks<br>from Abortion-Related<br>Information Requests         | ShrHoldr | Against | Against | For |

| 21 | Shareholder Proposal<br>Regarding Report on<br>Corporate Operations with<br>China                      | ShrHoldr | Against | Against | For |
|----|--|----------|---------|---------|-----|
| 22 | Shareholder Proposal<br>Regarding Third-Party Audit<br>of Policies on Workplace<br>Safety and Violence | ShrHoldr | Against | Against | For |

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

Registrant: Putnam Sustainable Leaders Fund

By: /s/Stephen J. Tate

Name: Stephen J. Tate

Title: Vice President, Chief Legal Officer of Putnam Sustainable Leaders

Fund

Date: August 23, 2023